



# Home-Based ABA Services: Maintaining High Standards and Best Practices in Function-Based Treatment

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## Conducting Functional Analyses in Home-Based Settings: Preliminary Data on Resources Needed

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# Introduction

- ▶ Functional analysis (FA) is a powerful tool to assess challenging behavior
  - ▶ Systematic manipulation of antecedent and consequent variables
  - ▶ Experimentally determine function
  - ▶ Lead to effective, function-based treatments
- ▶ Meta-analysis Data
  - ▶ 94% differentiated outcomes (Beavers, Iwata, & Lerman, 2013)

# Introduction

- ▶ FAs have been modified from standard FA
  - ▶ Modifications increase efficiency in conducting FAs
  - ▶ When initial FAs are undifferentiated, modifications may result in differentiated outcomes

Design Modifications	Description	References
Brief FA	<p>Definition is inconsistent in the literature</p> <p>Same number of conditions as standard FA but fewer sessions per condition (Hanley, Iwata, &amp; McCord, 2003)</p>	(Cihak, Alberto, & Frederick, 2007; Gardner, Spencer Boelter, Dubard, & Jannett, 2012; Lydon, Healy, O'Reilly, & Lang, 2012; Northup et al., 1991; Tincani, Castrogiovanni, & Axelrod, 1999; Wallace & Iwata, 1999)
Modified Standard FA	<p>Duration of analysis is reduced</p> <ul style="list-style-type: none"> <li>- Shortened sessions (5-10 min)</li> <li>- Reduced number of sessions</li> <li>- Fewer conditions</li> </ul>	Only for the purposes of this presentation
Trial-Based FA	<p>The discriminative stimulus (SD) or establishing operation (EO) is presented one trial at a time.</p> <p>Measures percentage of trials with challenging behavior</p>	(Bloom, Lambert, Dayton, & Samaha, 2013)
Precursor FA	<p>The target behavior itself is not assessed. Precursor behaviors (behaviors that reliably precede the target behavior) are assessed.</p>	(Fritz, Iwata, Hammond, & Bloom, 2013; Herscovitch, Roscoe, Libby, Bourret, & Ahearn, 2009; Najdowski, Wallace, Ellsworth, & MacAleese, 2008, Smith & Churchill, 2002)

Design Modifications	Description	References
Synthesized FA	<p>Alternates between test and control conditions</p> <p>More than one function is targeted in the test condition</p>	(Hanley, Jin, Vanselow, & Hanratty 2014)
Single-Function FA	<p>Alternates between one test and one control conditions</p> <p>Only one function is targeted in the test condition</p>	(Iwata & Dozier, 2008)
Latency FA	<p>Latency to the first instance of the target behavior is measured</p> <p>Session is terminated following the first response</p>	(Thomason-Sassi, Iwata, Neidert, & Roscoe, 2011)

# Introduction

- ▶ Modifications:
  - ▶ Are supported in the literature
  - ▶ Maintain high experimental standards
  - ▶ Increase ease of conducting FAs in homes
- ▶ Few published studies on FAs in home-based settings  
(Hanley, Iwata, & McCord, 2003)

# Purpose

- ▶ Describe how our agency effectively modified FAs for home-based settings
- ▶ Describe the resources we utilized to conduct FAs in home-based settings



# Method

- ▶ BCBAs from ABACS submitted lists of FAs they had conducted
  - ▶ FAs were conducted as part of agency's standard service model
    - ▶ During assessment process or part of on-going treatment
  - ▶ Across all full-time BCBAs

# Method

- ▶ Criteria for inclusion:
  - ▶ FA information readily available:
    - ▶ Operational definitions
    - ▶ Clear description of FA methodology
    - ▶ Raw data

# Method

- ▶ Of all FAs conducted, 25 met the inclusion criteria
- ▶ Conducted between June 2013 and April 2015
- ▶ For each FA, we collected the following data:
  - ▶ Behavior assessed
  - ▶ FA type
  - ▶ FA results (Roane, Fisher, Kelley, Meyers, & Bouxsein, 2013)
  - ▶ Procedural Integrity (PI)
  - ▶ Interobserver Agreement (IOA)
  - ▶ Resources for conducting FA

# Results - Functional Analyses

- ▶ Behaviors analyzed:
  - ▶ Tantrum (7)
  - ▶ Noncompliance (4)
  - ▶ Self-Injurious Behavior (SIB) (4)
  - ▶ Aggression (3)
  - ▶ Food Refusal (2)
  - ▶ Stereotypy (1)
  - ▶ Loud Vocals / Screaming (1)
  - ▶ Precursors to challenging behavior (1)
  - ▶ Pica (1)
  - ▶ Ripping (1)

# Results - Functional Analyses

- ▶ The 25 FAs will be presented in table format
- ▶ Tables organized by FA measurement method utilized
  - ▶ Table 1: Rate
  - ▶ Table 2: Frequency
  - ▶ Table 3: Percentage of Intervals
  - ▶ Table 4: Latency
- ▶ FAs further organized by FA type

## Results - Functional Analyses

### Sample Table

## Functional Analyses Organized by Measurement Method

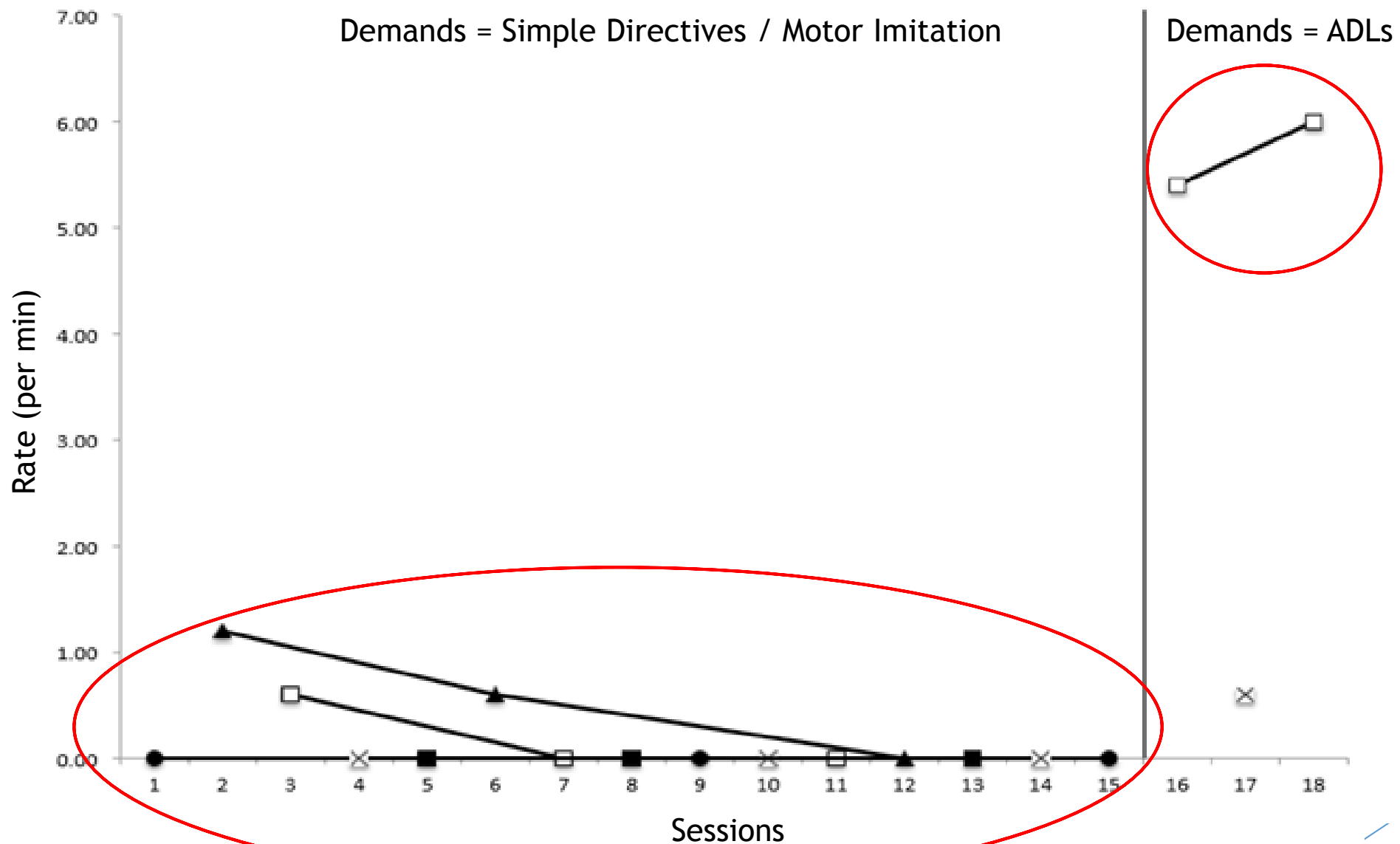
Functional Analysis Information										
Case	FA Type	Behavior	Conditions Tested						Other	Function
			Social Pos (Attn.)	Social Pos (Tang.)	Social Neg (Escape)	Auto. (Alone)	Control			

# Results - Functional Analyses

Table 1

*Mean Rate (per Min) of Problem Behavior for Each Functional Analysis Condition and Interpretation of the Function.*

Case	Functional Analysis Information								Function
	FA Type	Behavior	Conditions Tested						
			Social Pos (Attn.)	Social Pos (Tang.)	Social Neg (Escape)	Auto. (Alone)	Control	Other	
1	Standard (M)	AGG	0.5	10.67	6.33	-	0	-	Multiple
2	Standard (M)	AGG/ED	3.00	-	3.67	1.67	0.67	-	Multiple
3	Standard (M)	SIB	0.00	2.93	1.00	0.00	0	4.20	Escape



FA Information	
FA Type	Standard (M)
# Conditions	5
# Sessions	18
Session Duration	5 min
Total Time	90 min
Measure	Rate (per min)
Function	Negative Reinforcement (Escape)

- Attention
- Demand
- ▲ Tangible
- × Control
- Alone

Figure 1. Case 3, a functional analysis of self-injury



# Results - Functional Analyses

Table 2

*Mean Frequency (per Session) of Problem Behavior for Each Functional Analysis Condition and Interpretation of the Function.*

Case	FA Type	Behavior	Functional Analysis Information						Function
			Conditions Tested						
			Social Pos (Attn.)	Social Pos (Tang.)	Social Neg (Escape)	Auto. (Alone)	Control	Other	
4	Standard (M)	SIB	1.33	1.33	0.33	4.67	0.67	-	Undifferentiated
5	Standard (M)	SIB	2.00	2.67	0.00	7.67	4.00	-	Undifferentiated
6	Standard (M)	Tantrum	3.00	9.67	10.33	-	0.00	-	Multiple
7	Standard (M)	Pica	7.33	7.67	-	7.33	5.33	5.00	Automatic

# Results - Functional Analyses

Table 2

*Mean Frequency (per Session) of Problem Behavior for Each Functional Analysis Condition and Interpretation of the Function.*

Functional Analysis Information									
Case	FA Type	Behavior	Conditions Tested						Function
			Social Pos (Attn.)	Social Pos (Tang.)	Social Neg (Escape)	Auto. (Alone)	Control	Other	
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5	Standard (M)	SIB	2.00	2.67	0.00	7.67	4.00	-	Undifferentiated
6	Standard (M)	Tantrum	3.00	9.67	10.33	-	0.00	-	Multiple
7	Standard (M)	Pica	7.33	7.67	-	7.33	5.33	5.00	Automatic
8	Brief	Ripping	1.00	4.00	3.00	0.00	0.00	-	Multiple

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*Mean Frequency (Per Session) of Problem Behavior for Each Functional Analysis Condition and Interpretation of the Function.*

Case	FA Type	Behavior	Functional Analysis Information						
			Conditions Tested						Function
			Social Pos (Attn.)	Social Pos (Tang.)	Social Neg (Escape)	Auto. (Alone)	Control	Other	
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6	Standard (M)	Tantrum	3.00	9.67	10.33	-	0.00	-	Multiple
7	Standard (M)	Pica	7.33	7.67	-	7.33	5.33	5.00	Automatic
8	Brief	Ripping	1.00	4.00	3.00	0.00	0.00	-	Multiple
9	Single-Function	Tantrum	-	-	8.25	-	0.00	-	Escape
10	Single-Function	Tantrum	-	11.33	-	-	0.00	-	Tangible
11	Single-Function	AGG	-	9.00	-	-	0.00	-	Tangible
12	Single-Function	NC	-	-	8.67	-	0.00	-	Escape
13	Single-Function	NC	-	-	0.00	-	0.00	-	Undifferentiated
14	Single-Function	NC	-	-	6.00	-	0.00	-	Escape
15	Single-Function	NC	-	-	3.00	-	0.00	-	Escape
16	Single-Function	SIB	68.00	-	-	-	62.50	-	Undifferentiated

# Results - Functional Analyses

Table 3

*Mean Percentage of Intervals In Which Problem Behavior Occurred for Each Functional Analysis Condition and Interpretation of the Function.*

Functional Analysis Information									
Case	FA Type	Behavior	Conditions Tested						Function
			Social Pos (Attn.)	Social Pos (Tang)	Social Neg (Escape)	Auto. (Alone)	Control	Other	
17	Brief	Stereotypy	30%	-	0%	100%	83%	-	Multiple (Primarily Automatic)

# Results - Functional Analyses

Table 4

*Mean Latency (sec) to Engage in the First Instance of Challenging Behavior For Each Functional Analysis Condition and Interpretation of the Function*

Case	FA Type	Behavior	Functional Analysis Information						
			Conditions Tested						
			Social Pos (Attn.)	Social Pos (Tang)	Social Neg (Escape)	Auto. (Alone)	Control	Other	Function
18	Brief	Tantrum	300.0	17.0	190.0	-	7.5	24.0	Undifferentiated

# Results - Functional Analyses

Table 4

*Mean Latency (sec) to Engage in the First Instance of Challenging Behavior For Each Functional Analysis Condition and Interpretation of the Function*

Case	FA Type	Behavior	Functional Analysis Information						
			Conditions Tested						
			Social Pos (Attn.)	Social Pos (Tang)	Social Neg (Escape)	Auto. (Alone)	Control	Other	Function
18	Brief	Tantrum	300.0	17.0	190.0	-	7.5	24.0	Undifferentiated
19	Trial-Based	Food Refusal	-	-	5.33	-	120.0	-	Escape
20	Trial-Based	Food Refusal	-	1.74	-	-	120.0	-	Tangible

# Results - Functional Analyses

Table 4

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Case	FA Type	Behavior	Functional Analysis Information						
			Conditions Tested						
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18	Brief	Tantrum	300.0	17.0	190.0	-	7.5	24.0	Undifferentiated
19	Trial-Based	Food Refusal	-	-	5.33	-	120.0	-	Escape
20	Trial-Based	Food Refusal	-	1.74	-	-	120.0	-	Tangible
21	Precursor	AGG	-	-	-	-	180.0	2.0	Tangible

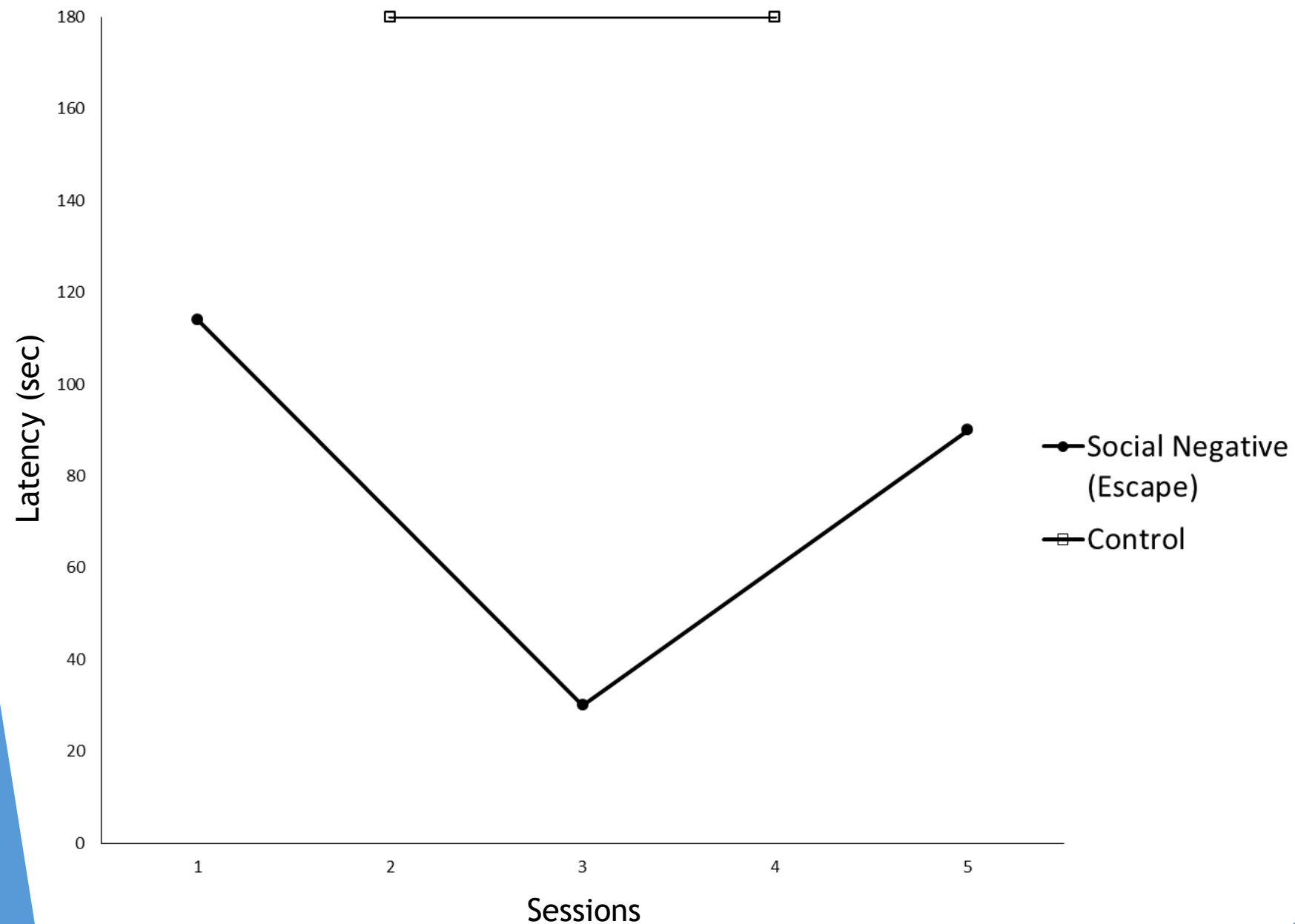
# Results - Functional Analyses

Table 4

*Mean Latency (sec) to Engage in the First Instance of Challenging Behavior For Each Functional Analysis Condition and Interpretation of the Function*

Case	FA Type	Behavior	Functional Analysis Information						
			Conditions Tested				Control	Other	Function
			Social Pos (Attn.)	Social Pos (Tang)	Social Neg (Escape)	Auto. (Alone)			
18	Brief	Tantrum	300.00	17.00	190.00	-	7.50	24.00	Undifferentiated
19	Trial-Based	Food Refusal	-	-	5.33	-	120.00	-	Escape
20	Trial-Based	Food Refusal	-	1.74	-	-	120.00	-	Tangible
21	Precursor	AGG	-	-	-	-	180.00	2.00	Tangible
22	Single-Function	Tantrum	-	-	95.30	-	180.00	-	Escape
23	Single-Function	AGG	-	-	40.30	-	300.00	-	Escape
24	Single-Function	AGG	-	72.30	-	-	186.00	-	Tangible
25	Single-Function	Screaming	-	109.00	-	-	180.00	-	Tangible





FA Information	
FA Type	Single-Function
# Conditions	2
# Sessions	5
Session Duration	180 sec maximum
Total Time	9.9 min
Measure	Latency
Function	Negative Reinforcement (Escape)

Figure 4. Case 22, a functional analysis of tantrums

# Results - Functional Analyses

Table 5

*Functional Analysis Design Information*

Design Element	Average	Range
Conditions per FA	3.1	2-5
Number of Sessions per FA	8.1	4-18
Session Length per FA	5.1 min	2 - 10 min

# Results - Functional Analyses

- ▶ PI data were collected for 16/25 (64%) FAs
  - ▶ PI collected for at least 25% of sessions per FA
- ▶ IOA data were collected for 16/25 (64%) FAs
  - ▶ IOA collected for at least 33% of sessions per FA

# Results - Functional Analyses

Table 6

*Procedural Integrity (PI) and Interobserver Agreement (IOA)*

Data	Average (%)	Range (%)
PI	97.35	93.50 - 100.0
IOA	93.07	76.33 - 100.0

# Results - Functional Analyses

- ▶ 20/25 (80%) of FAs conducted were differentiated
  - ▶ Lower than published data

# Results - Resources

Table 7

*Resources Needed Per Functional Analyses*

Case	Number of Staff Needed	Credentials of Staff	Resources				
			In-FA Time	In-Consult Time	Time to Collect PI	Time to Collect IOA	Cost
1	1	Masters	55	120	-	-	\$250
2	2	Ph.D.	88	420	24	284	\$2100
3	2	Masters	90	270	-	-	\$1125
4	2	Masters	150	180	39	162	\$750
5	2	Caregiver	150	180	22	62	\$750
6	1	Masters	60	120	-	-	\$250
7	1	Masters	75	90	25	95	\$375
8	1	Masters	50	180	-	-	\$375
9	1	Caregiver	30	150	22	90	\$312
10	1	Masters	30	120	-	-	\$250
11	1	Masters	50	120	-	-	\$250
12	1	Caregiver	15	120	8	47	\$250

# Results - Resources

Table 7

*Resources Needed Per Functional Analyses*

Case	Number of Staff Needed	Credentials of Staff	Resources				Cost
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6	1	Masters	60	120	-	-	\$250
7	1	Masters	75	90	25	95	\$375
8	1	Masters	50	180	-	-	\$375
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10	1	Masters	30	120	-	-	\$250
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12	1	Caregiver	15	120	8	47	\$250

# Results - Resources

Table 8

*Resources Needed Per Functional Analyses*

Case	Number of Staff Needed	Credentials of Staff	Resources				
			In-FA Time	In-Consult Time	Time to Collect PI	Time to Collect IOA	Cost
13	1	Masters	15	120	3	24	\$250
14	1	Masters	15	180	8	30	\$375
15	1	Masters	15	180	3	12	\$375
16	1	Masters	20	120	11	40	\$250
17	1	Masters	20	180	-	-	\$375
18	2	Masters	9.26	120	-	-	\$500
19	1	Masters	12.5	120	38	-	\$250
20	1	Masters	12.1	-	-	-	-
21	1	Masters	6.1	60	5	18	\$125
22	1	Masters	9.9	180	3	27	\$375
23	1	Masters	10.68	120	-	-	\$250
24	1	Masters	9.81	-	-	-	-
25	1	Masters	11.8	120	12	39	\$250



# Results - Resources

Table 8

*Resources Needed Per Functional Analyses*

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15	1	Masters	15	180	3	12	\$375
16	1	Masters	20	120	11	40	\$250
17	1	Masters	20	180	-	-	\$375
18	2	Masters	9.26	120	-	-	\$500
19	1	Masters	12.5	120	38	-	\$250
20	1	Masters	12.1	-	-	-	-
21	1	Masters	6.1	60	5	18	\$125
22	1	Masters	9.9	180	3	27	\$375
23	1	Masters	10.68	120	-	-	\$250
24	1	Masters	9.81	-	-	-	-
25	1	Masters	11.8	120	12	39	\$250

# Results - Resources

Table 9

*Resources Needed to Conduct Functional Analyses*

Resource	Average	Range
Number of Staff	1.2	1-2
Time to Conduct FA	40 min	6 - 150 min
Consult Length	155 min	60 - 420 min
Cost	\$452.72	\$125 - \$2100

# Discussion

- ▶ FAs can be modified for implementation in home-based settings
  - ▶ 80% of FAs had differentiated results
  - ▶ 97.35% Procedural integrity
  - ▶ 93.07% Interobserver agreement

# Discussion

- ▶ FAs can be cost-effective for clients
  - ▶ Only 1-2 trained staff needed to conduct FA
  - ▶ 40 minutes on average to conduct FA
  - ▶ Average cost was \$452.72

# Discussion

- ▶ Limitations
  - ▶ Small sample size
  - ▶ Staff training data were not presented or analyzed
  - ▶ Treatment data were not presented or analyzed

# Discussion

- ▶ Future Research

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  - ▶ Statistical analysis of FAs and resources needed to conduct them

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  - ▶ Analysis of staff training needed for conducting FAs
    - ▶ Time Needed
    - ▶ Cost



# Discussion

- ▶ Future Research
  - ▶ Statistical analysis of FAs and resources needed to conduct them
  - ▶ Analysis of staff training needed for conducting FAs
    - ▶ Time Needed
    - ▶ Cost
  - ▶ Treatment data
    - ▶ The extent to which FAs lead to the implementation of effective, function-based treatments

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  - ▶ Miranda Courant-Morgan
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## Using Functional Communication Training and Reinforcer Delay Fading to Treat Multiply-Maintained Aggressive Behavior

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# SIMMONS

# Functional Communication Training

- ▶ A communication response results in access to reinforcer (Carr & Durand, 1985)
  - ▶ Extinction (e.g., Fisher et al., 1993, Hagopian et al., 1998, Wacker et al., 1990)
- ▶ Functional communication training (FCT) is effective in reducing rates of severe problem behavior (e.g., Carr & Durand, 1985; Fisher et al., 1993; Hagopian, Fisher, Sullivan, Acquisto, & LeBlanc, 1998)
- ▶ FCT is the most published function-based treatment for problem behavior (Tiger, Hanley, & Bruzek, 2008)

# Limitations of FCT

- ▶ FCT has its limitations (Fisher et al., 2000; Fisher et al., 1993; Tiger et al., 2008)
- ▶ The individual is given immediate access on a continuous schedule (Carr and Durand, 1985; Tiger et al., 2008)
- ▶ Parents/Caregivers/Teachers (Tiger et al., 2008)
  - ▶ The reinforcer may not be immediately available
  - ▶ Caregiver may be unavailable to facilitate delivery of the reinforcer
  - ▶ The reinforcer may only be intermittently available



# Limitations of FCT

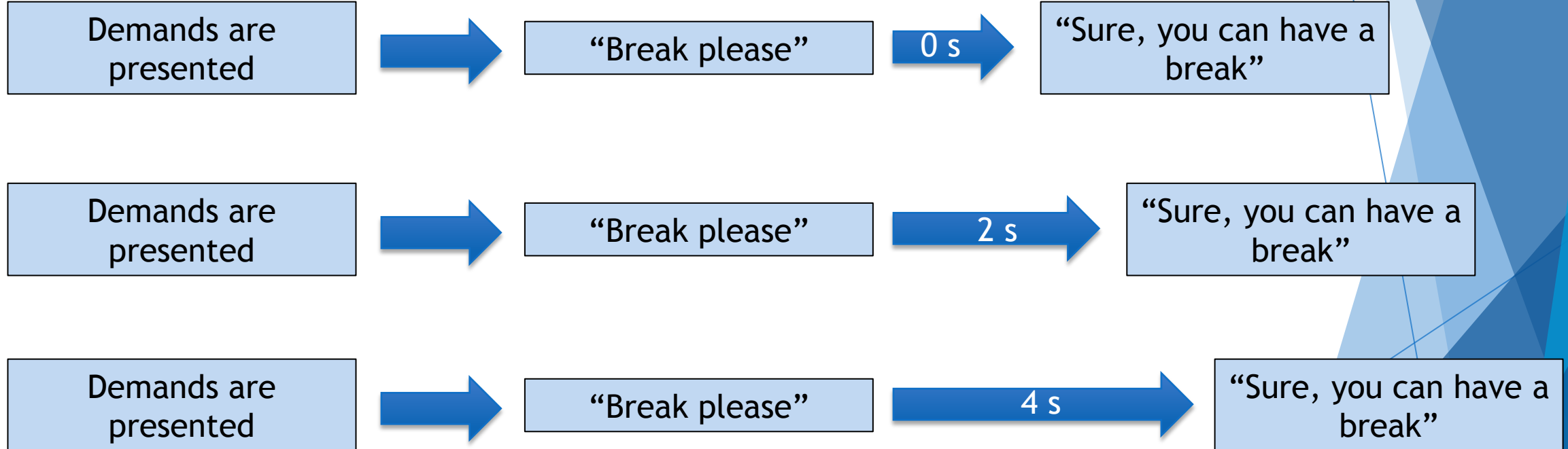
- ▶ Rates of responding are often higher than peers (Fisher et al., 2000; LeBlanc, Hagopian, Marhefka, & Wilke, 2001)
- ▶ Escape-maintained behavior (Reichle, Johnson, Monn, & Harris, 2010)
  - ▶ Requesting breaks at a high rate
  - ▶ Few learning opportunities
  - ▶ Limited tolerance to delays or denial

# Schedule Thinning following FCT

- ▶ Schedule thinning is needed (Hagopian, Boelter, & Jarmolowicz, 2011)
  1. **Delay schedules**  
(e.g. Braithwaite & Richdale, 2000; Fisher et al., 2000; Hanley, Iwata, & Thompson 2001)
  2. **Chain schedules or demand fading**  
(e.g., Falcomata, Meuthing, Gainey, Hoffman, & Fragale, 2013; Fisher et al., 1993; Hagopian et al., 1998; Lalli et al., 1995)
  3. **Multiple schedules**  
(e.g., Fisher et al., 1998; Hagopian et al., 2004; Hanley et al., 2001)
  4. **Response restriction**  
(e.g., Hagopian et al., 2004; Roane, Fisher, Sgro, Falcomata, & Pabico, 2004)
- ▶ Only 29% of functional communication studies used schedule thinning following FCT (Hagopian et al., 2011)

# Reinforcement Delay Fading

## ► Reinforcement delay fading



# Delay Schedules

- ▶ Reinforcement delay fading generally fails to increase delays greater than 30 s (Kelley, Lerman, Fisher, Roane, & Zangrillo, 2011)
- ▶ Signals may facilitate longer delay periods (Kelley et al., 2011)
  - ▶ For 2 of 3 participants:
    - ▶ Signal aided maintenance of responding during greater delays as compared to unsignaled delays

# Reinforcement Delay

- ▶ Largely used to treat problem behavior maintained by social positive contingencies (i.e., attention, tangible) (e.g., Braithwaite & Richdale, 2000; Fisher et al., 2000; Hagopian et al., 2001; Hagopian et al., 1998)
- ▶ Braithwaite and Richdale (2000)
  - ▶ Escape maintained SIB and aggression
  - ▶ Multiply controlled - escape and tangible
    - ▶ Treatment was separate for each function
    - ▶ Did not specify whether demands were maintained during the delay period
      - ▶ EO may not have been in place during the delay

# Purpose

- ▶ Use FCT and signaled reinforcement delay fading to decrease rates of aggression maintained by access to escape from demands and preferred items
- ▶ Establish high rates of communication and high, increasing rates of task completion as delay increased
- ▶ During ongoing home-based service delivery

# Participant

- ▶ 3-year-old boy with autism spectrum disorder
- ▶ Full day preschool
- ▶ 10 hours/week of home-based ABA
- ▶ 2 hours/week of clinic-based 1:1 therapy and social skills
- ▶ Participant behaviors:
  - ▶ PECS (Bondy & Frost, 1994) & some vocal communication (3-5 words)
  - ▶ Aggressive behaviors

# Setting

- ▶ Home
  - ▶ Living room
    - ▶ Included sofa, TV, small table and chairs, low and moderately preferred toys
    - ▶ Family members were often present and passing through the room
- ▶ Outpatient Clinic (Generalization)
  - ▶ Workspace was an 8'x8' cubicle with one open side facing a larger room with peers
  - ▶ 2-3 peers present during session



# Materials

- ▶ Materials
  - ▶ iPad®
  - ▶ PECS book
  - ▶ Vivitar® DVR508 digital camcorder
  - ▶ Instant Data® and Instant IOA®

# Dependent Variables

- ▶ 1. Aggressive behaviors
  - ▶ 2. Task completion
  - ▶ 3. Vocal communication responses
  - ▶ 4. Nonvocal communication responses
- } Total FCRs

# Dependent Variables

- ▶ Recorded total frequency
- ▶ Video recordings of sessions
- ▶ Rate of responding was calculated by dividing the total number of responses by the session length
  - ▶ During delay sessions, the session timer was paused during the reinforcement delay and excluded from the calculation of rate (Kelley et al., 2011)

# Experimental Design

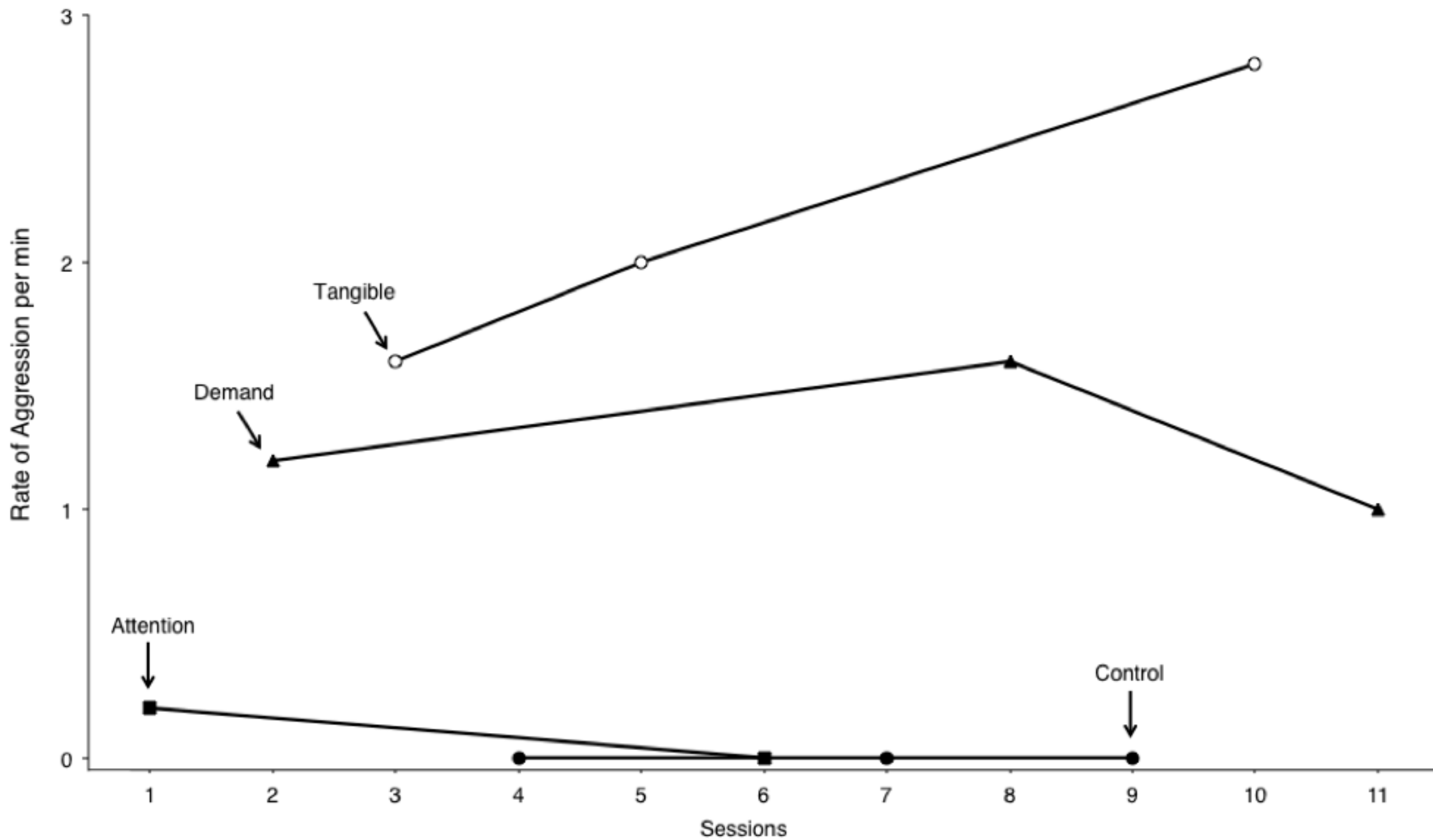
- ▶ Functional Analysis (FA)
  - ▶ FA was conducted using multi-element design (Iwata, Dorsey, Slifer, Bauman & Richman, 1982/1994)
    - ▶ Attention (A)
    - ▶ Demand (B)
    - ▶ Tangible (C)
    - ▶ Control (D)
  - ▶ ABCDCADBDCB

# Experimental Design

- ▶ Treatment
  - ▶ Multiple treatment with reversal (Barlow & Hayes, 1979)
  - ▶ Probes sessions of the terminal delay schedule
    - ▶ Baseline (E)
    - ▶ Extinction (F)
    - ▶ Functional communication training (G)
    - ▶ Reinforcement delay fading with extinction (H)
  - ▶ EFGEGH

# Functional Analysis (FA) Procedures

- ▶ Session length 5 min with a 1-3 minute break between sessions
- ▶ Attention, demand, tangible and control
- ▶ Based on Iwata and colleagues (1982/1994)



# Procedures

- ▶ Treatment
  - ▶ All sessions were 5 minutes in length
  - ▶ Probe sessions 2 minutes in length
  - ▶ Across all phases, the antecedent conditions included the presentation of demands and access to the iPad® was withheld

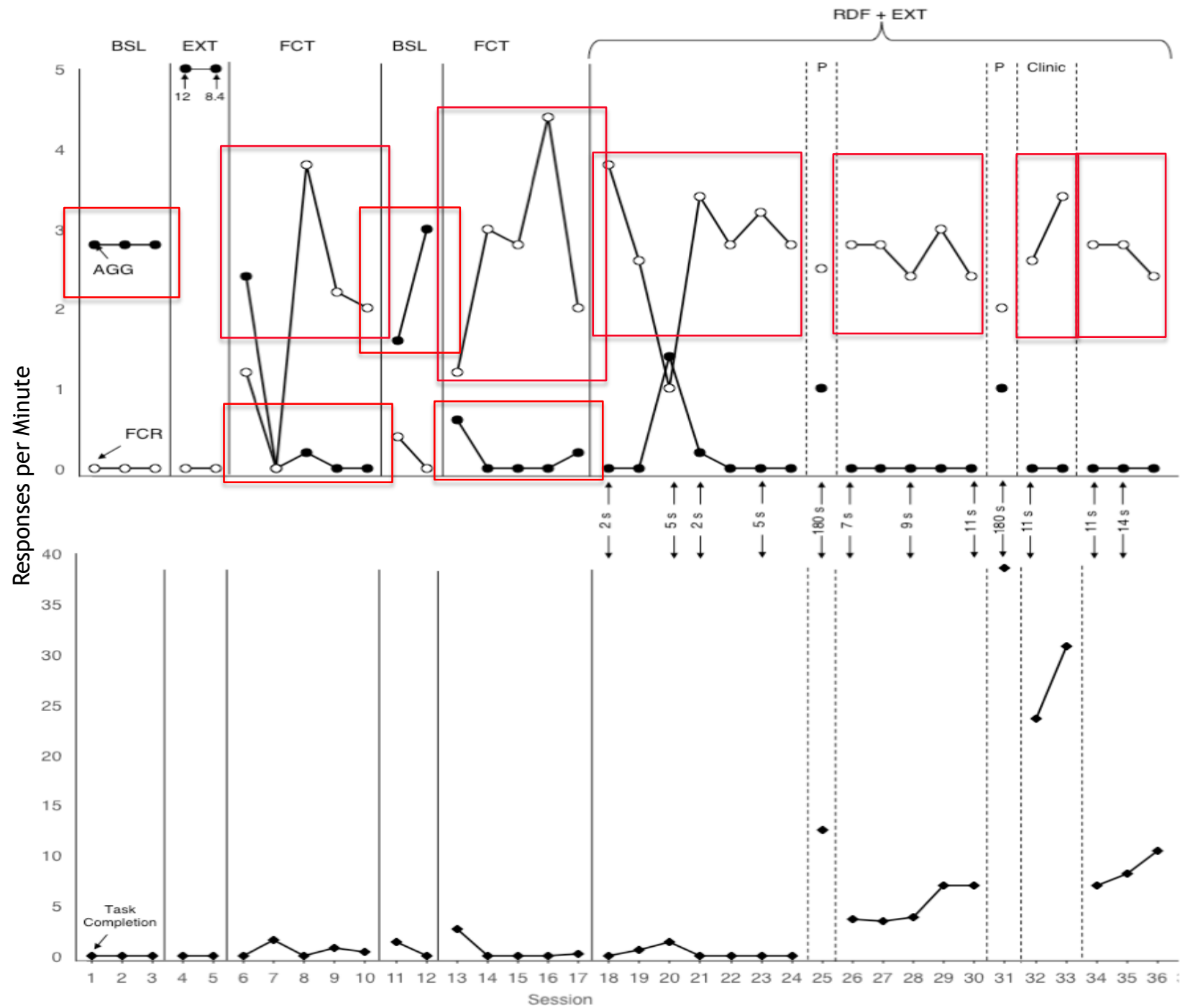


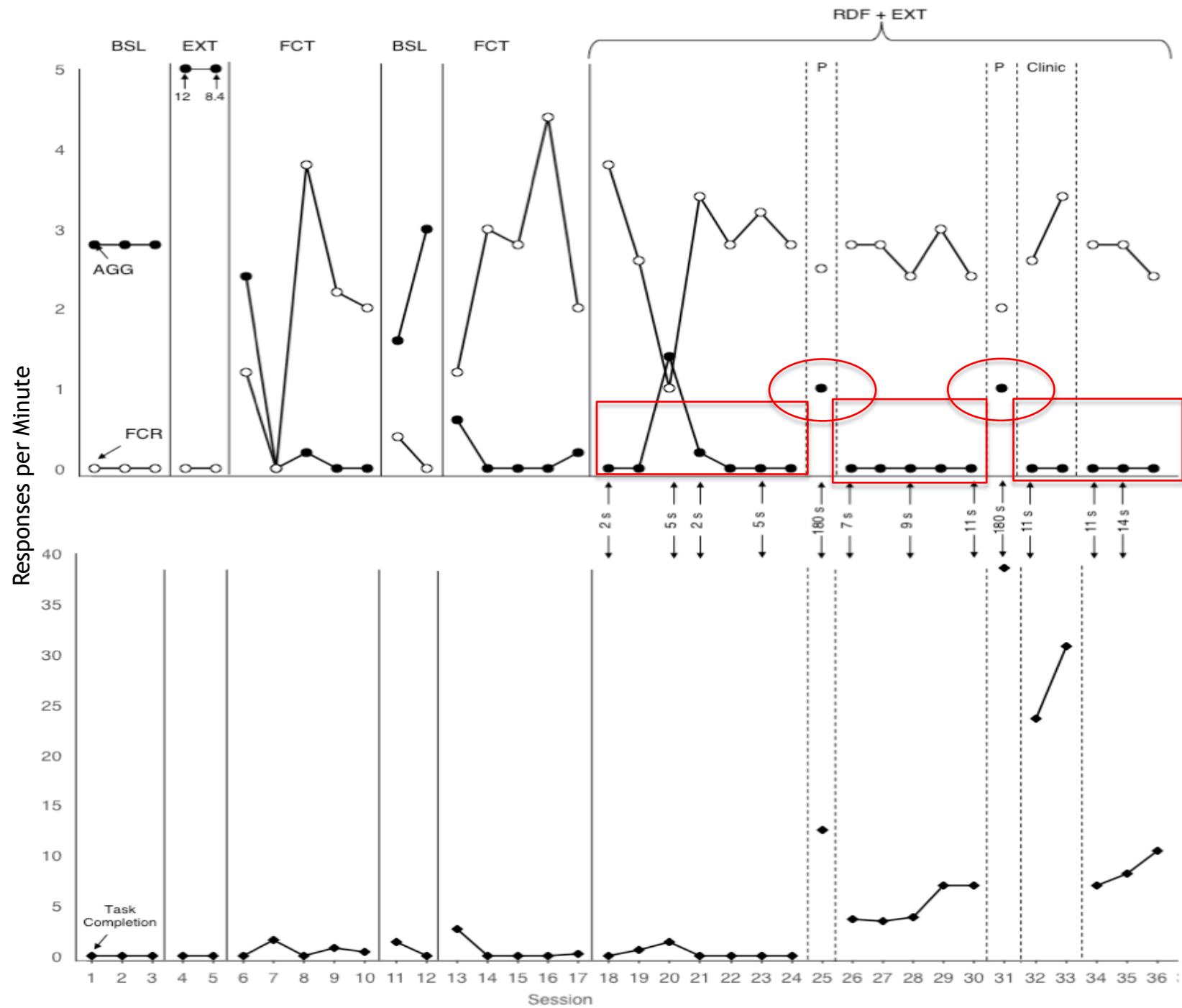
# Procedures

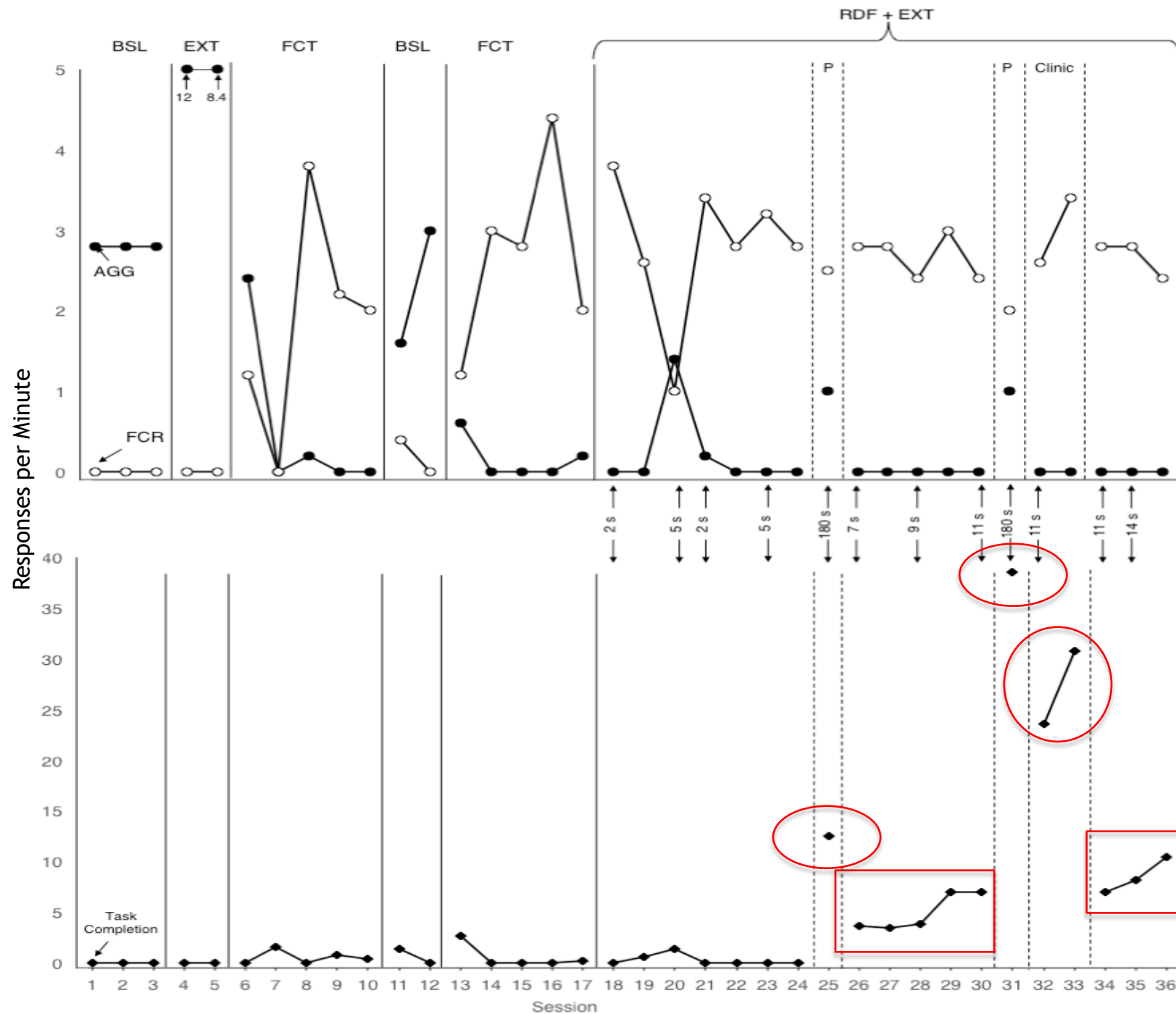
- ▶ Baseline
  - ▶ Functional reinforcers (escape and access to tangibles) were provided for aggression
  - ▶ No other programmed consequence was provided
- ▶ Extinction
  - ▶ Aggression and FCRs were ignored
  - ▶ Task completion resulted in neutral praise
- ▶ FCT
  - ▶ FCRs resulted in 15 s access to functional reinforcers (escape and access to tangibles)
  - ▶ Least-to-most prompting was provided for task completion, and praise for compliance

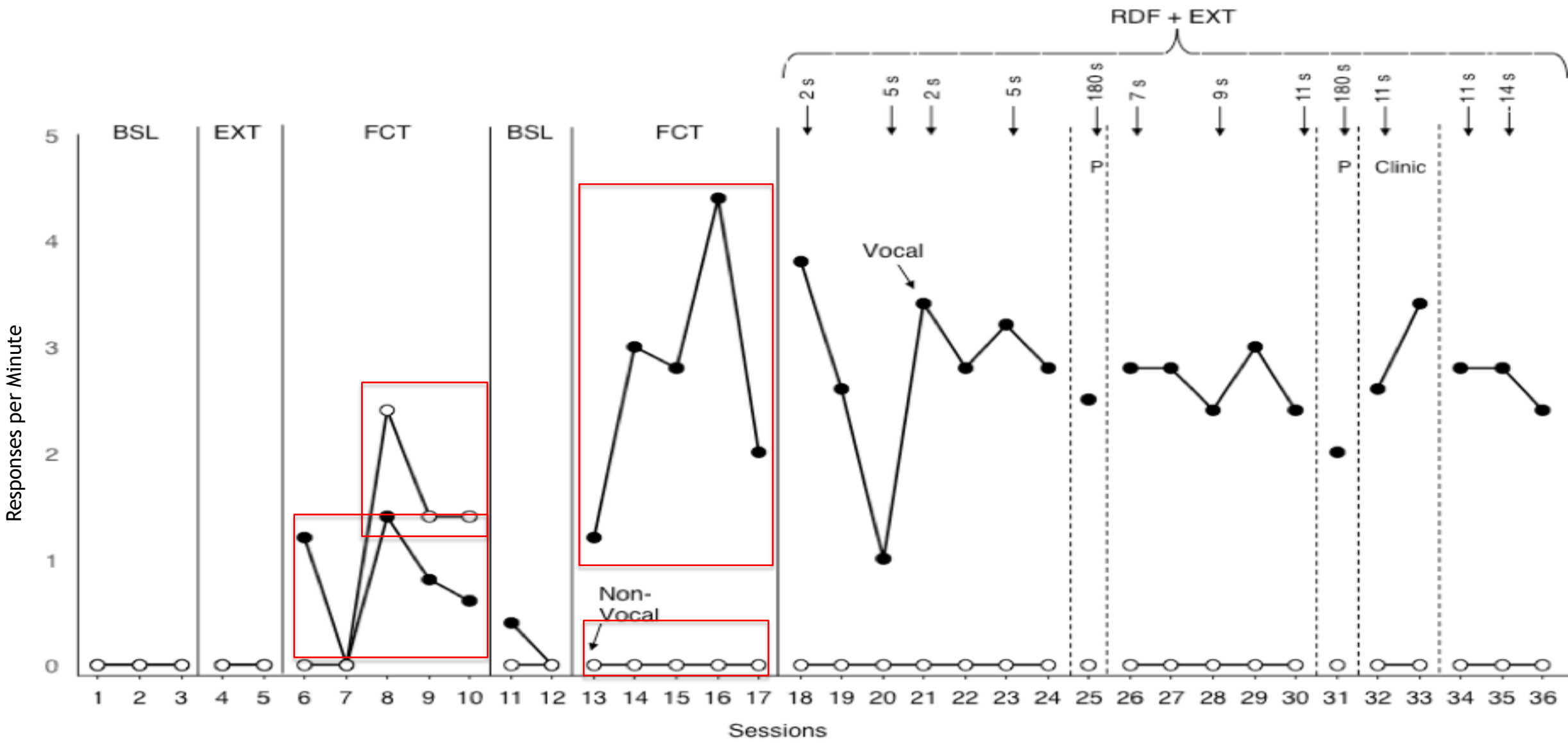
# Procedures (continued)

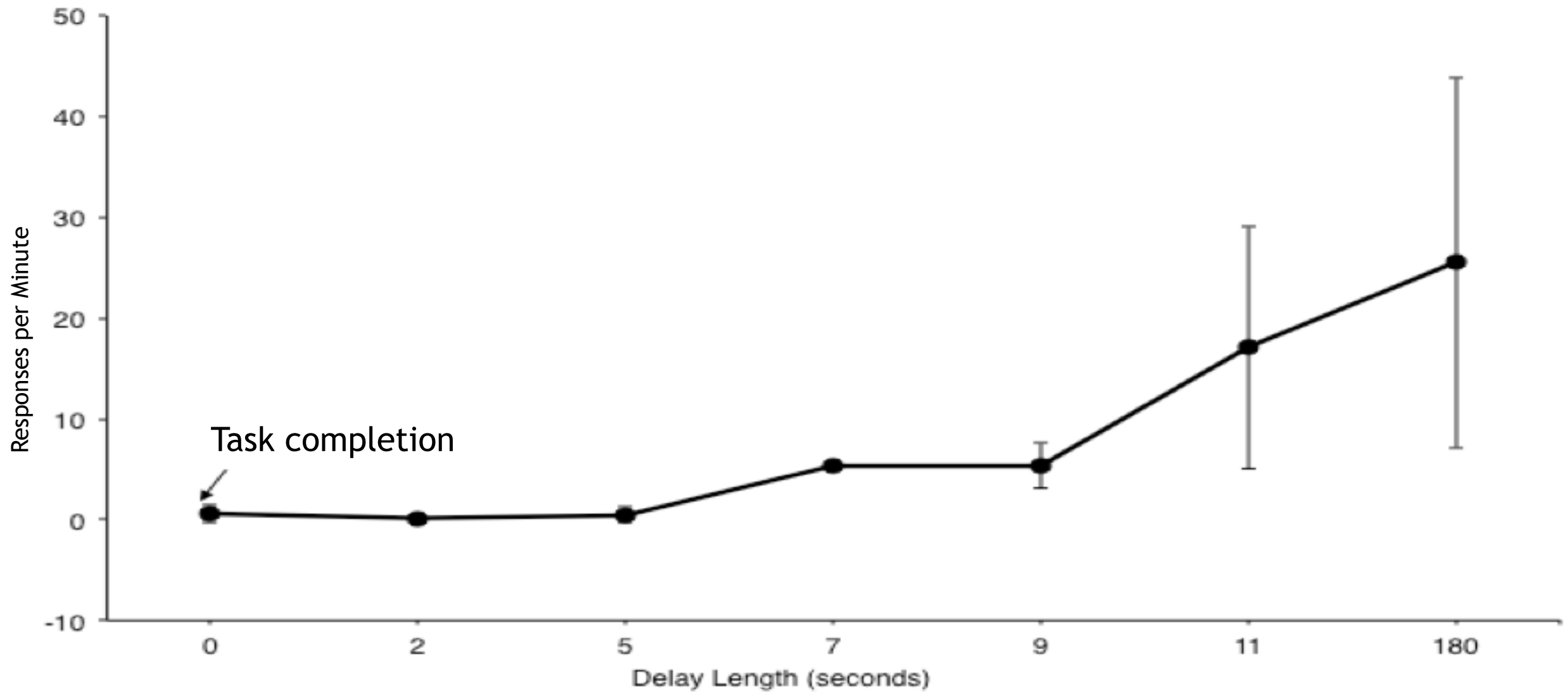
- ▶ Delay fading
  - ▶ Contingent on FCRs, the therapist stated “wait” and showed a visual wait sign for the duration of the delay
  - ▶ Demands were maintained during the delay and praise was provided for compliance
  - ▶ Delay length was increased by 30% following 2 consecutive sessions with high rates of FCR & low rates of aggression











# IOA & PI

- ▶ Interobserver Agreement
  - ▶ 70% of sessions
  - ▶ Average at least 95% across all dependent variables
- ▶ Procedural Integrity
  - ▶ Procedural integrity data were collected for 41% of sessions and averaged 91.5% (range: 85.7%-100%)



# Discussion

- ▶ Treatment package was successful
  - ▶ Reduced rates of aggression
  - ▶ High rates of FCR
  - ▶ High rates of task completion
- ▶ Responding was maintained to a delay period of 14 s
- ▶ Probe sessions indicated reemergence of aggression
  - ▶ Not yet able to rapidly increase delay length

# Discussion

- ▶ Generality of the treatment was assessed
- ▶ Support for previous research
  - ▶ Effectiveness of FCT in treating problem behavior (Carr & Durand, 1985)
  - ▶ Effectiveness of reinforcement delay fading (Tiger et al., 2008)
  - ▶ Need for schedule thinning following FCT
    - ▶ Escape-maintained behaviors
    - ▶ Increasing learning opportunities

# Limitations

- ▶ Probe design
  - ▶ Probe conducted after 5<sup>th</sup> session of reinforcement delay fading
  - ▶ Design could be strengthened by conducting probe following FCT
- ▶ Additional teaching opportunities during service delivery
  - ▶ Acquisition of FCR may have been aided by ongoing service delivery
- ▶ Only 1 participant

# Future Research

- ▶ Extend beyond 14 s delay length
- ▶ Address Kelley and colleagues (2011) concern that delays in applied research have not been demonstrated beyond 30 s
- ▶ Evaluate signaled versus unsignaled delays (Kelley et al., 2011)
- ▶ Combine other methods for schedule thinning, recommended by Tiger and colleagues (2008)

# Future Research

1. Delay schedules

(e.g. Braithwaite & Richdale, 2000; Fisher et al., 2000; Hanley, Iwata, & Thompson 2001)

2. Chain schedules or demand fading

(e.g., Falcomata, Meuthing, Gainey, Hoffman, & Fragale, 2013; Fisher et al., 1993; Hagopian et al., 1998; Lalli et al., 1995)

3. Multiple schedules

(e.g., Fisher et al., 1998; Hagopian et al., 2004; Hanley et al., 2001)

4. Response restriction

(e.g., Hagopian et al., 2004; Roane, Fisher, Sgro, Falcomata, & Pabico, 2004)

# Acknowledgements

- ▶ Thank you to:
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  - ▶ Christine Ahearne
  - ▶ Allie Connealy

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# Applications of Clinic-Based Research into Home Settings

Kimberly A. Diggs

Kevin J. Schlichenmeyer

Kara A. LaCroix

Krystie M. Fleck



# Introduction

- Pre-treatment approach to eliminating challenging behavior

# Introduction

- Pre-treatment approach to eliminating challenging behavior
- Exponential growth within the past 30 years (Beavers, Iwata, & Lerman, 2013)

# The Past 10 Years of Research

Setting	Number of Studies Published
Hospital (inpatient)	90
School	70
Clinic (outpatient)	34
Home	25
Institution	10
Vocational Program	9
Community	1

(Beavers, Iwata,& Lerman, 2013)

Why?

# Why?

- Conversion from old lore to new lore (Hanley, 2012)

# Why?

- Conversion from old lore to new lore (Hanley, 2012)
- This exists despite evidence in the contrary (Thompson et al., 2007)



# Purpose of the Study

- Comprehensive treatment package for severe problem behavior in the Home setting (Hanley et al., 2014)

# Comprehensive Treatment Package

Open Ended IA

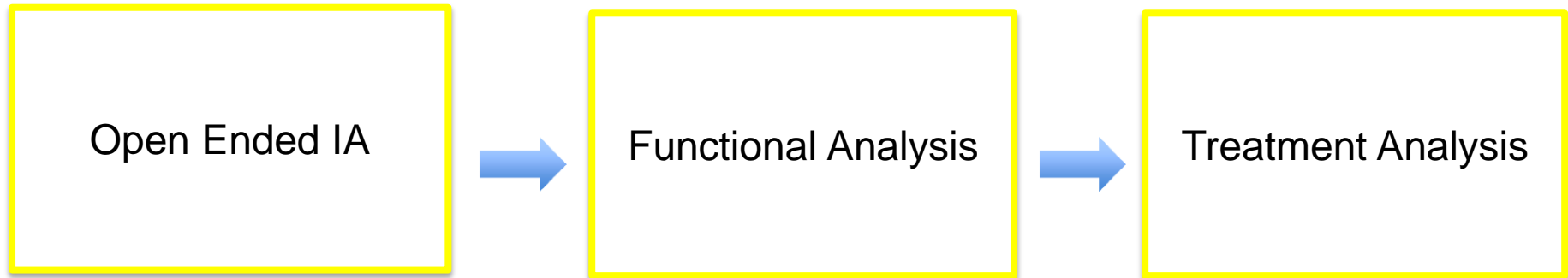
# Comprehensive Treatment Package

Open Ended IA



Functional Analysis

# Comprehensive Treatment Package



# Participants and Settings

Participant	Age	Diagnosis	Target Behavior	Setting
Adam	9	Autism Spectrum Disorder	Screams	Home

# Participants and Settings

Participant	Age	Diagnosis	Target Behavior	Setting
Adam	9	Autism Spectrum Disorder	Screams	Home
Lola	6	PDD-NOS	Screams	Home

# Participants and Settings

Participant	Age	Diagnosis	Target Behavior	Setting
Adam	9	Autism Spectrum Disorder	Screams	Home
Lola	6	PDD-NOS	Screams	Home
Joseph	20	Autism Spectrum Disorder	Vocal Protests & Aggression	Home

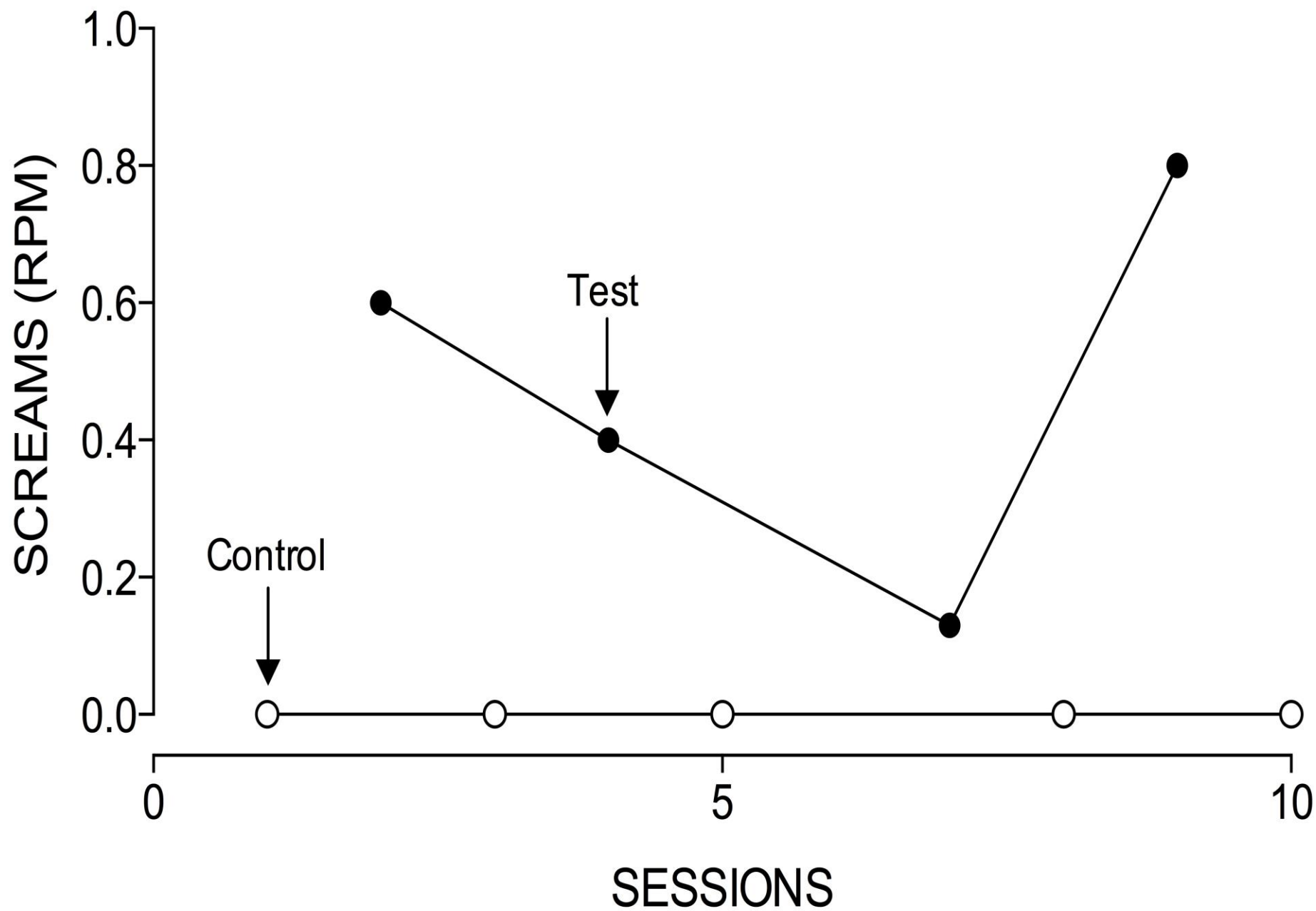
# Participants and Settings

Participant	Age	Diagnosis	Target Behavior	Setting
Adam	9	Autism Spectrum Disorder	Screams	Home
Lola	6	PDD-NOS	Screams	Home
Joseph	20	Autism Spectrum Disorder	Vocal Protests & Aggression	Home



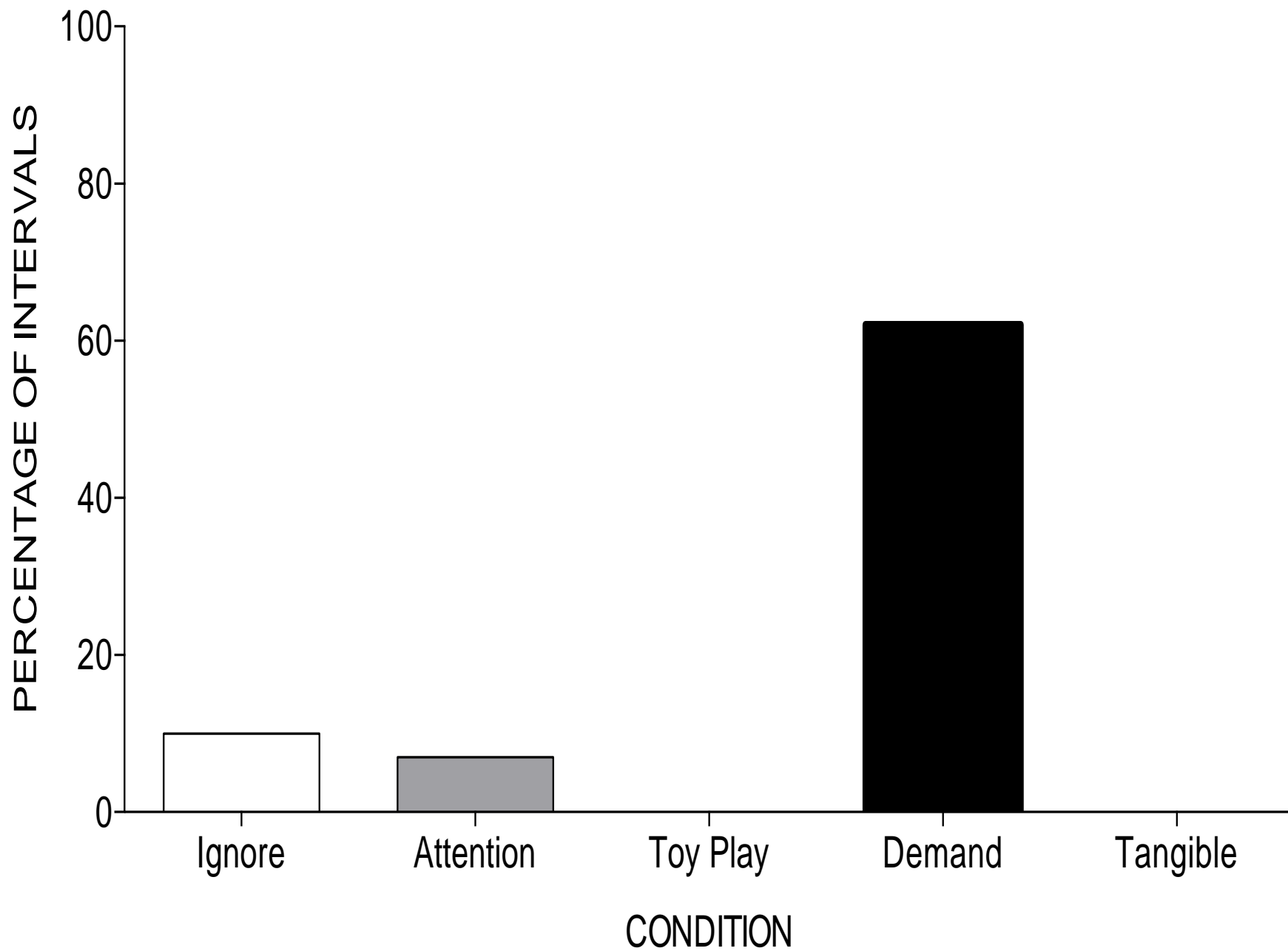
# Adam: Functional Analysis

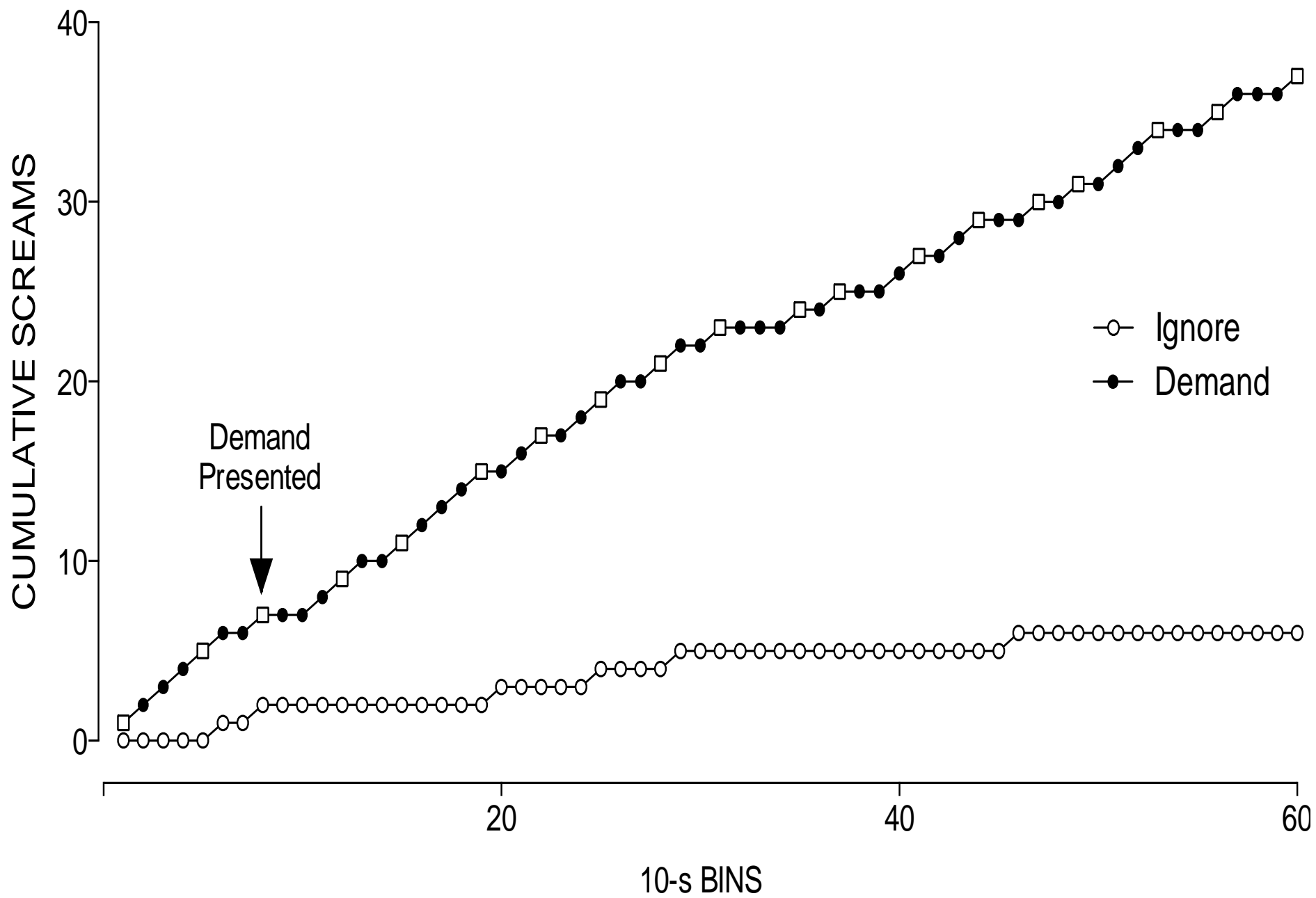
- Test
  - “iPad is all done, it is time to get to work!”
  - Preferred activity interruption and presentation of academic demands
  - Screams produced 30 s demand removal and iPad delivery
- Control
  - “You can have some iPad time!”
  - Continuous access to iPad time
  - No programmed consequences



# Lola: Functional Analysis

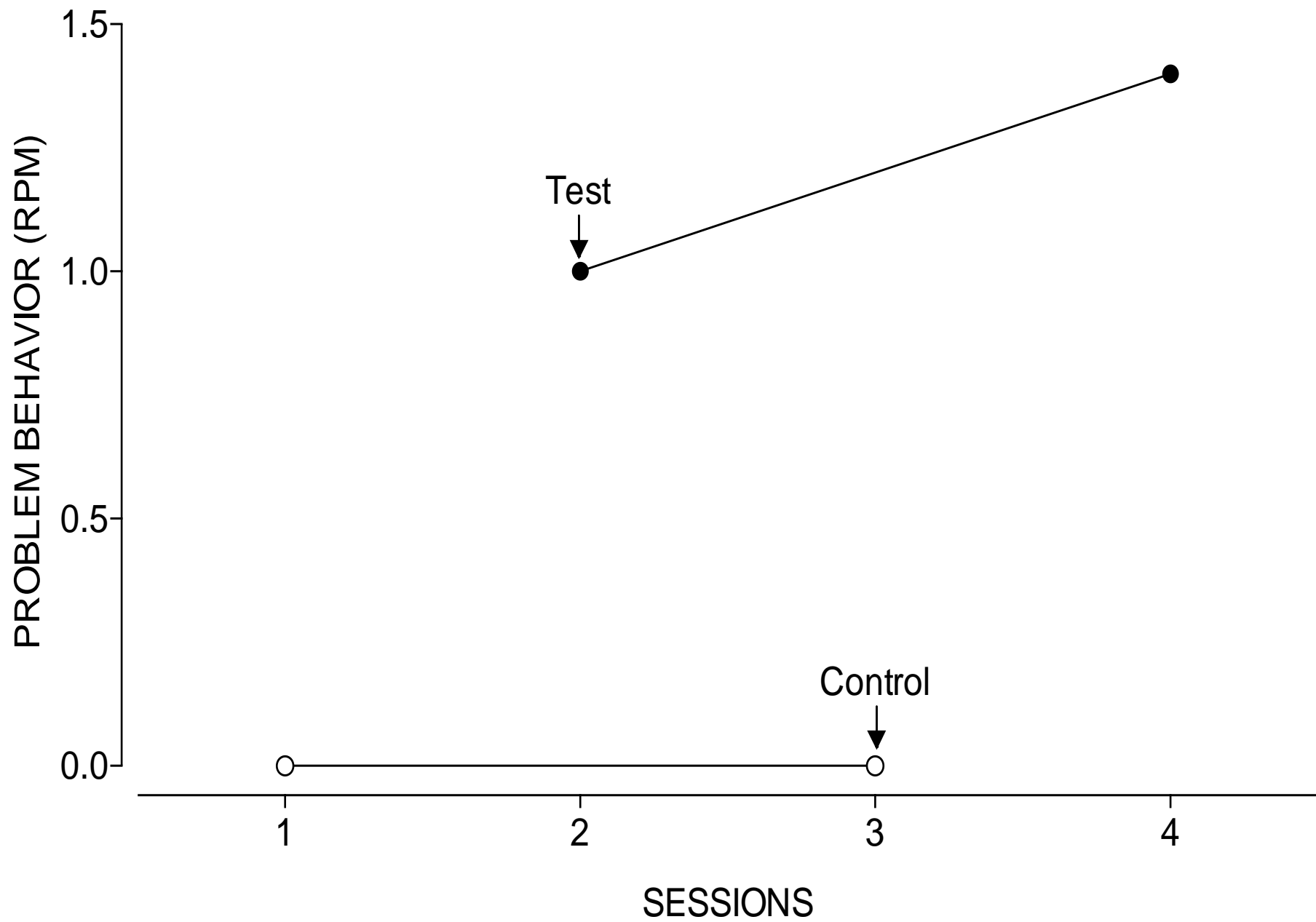
- Functional Analysis
  - Ignore, Attention, Toy Play, Demand and Tangible (Derby et al., 1992)





# Joseph: Functional Analysis

- Test
  - “T.V. is all done, it is time to get to work!”
  - Preferred activity interruption and presentation of academic demands
  - Problem behavior produced 30 s demand removal and iPad delivery
- Control
  - “You can have some T.V. time!”
  - Continuous access to T.V. time
  - No programmed consequences



# Methods

- Experimental Design:
  - 5 min sessions
  - FCR → Least-to-most verbal
  - Attention Gaining Response → Least-to-most physical
  - Treatment effects were determined via a changing criterion design.

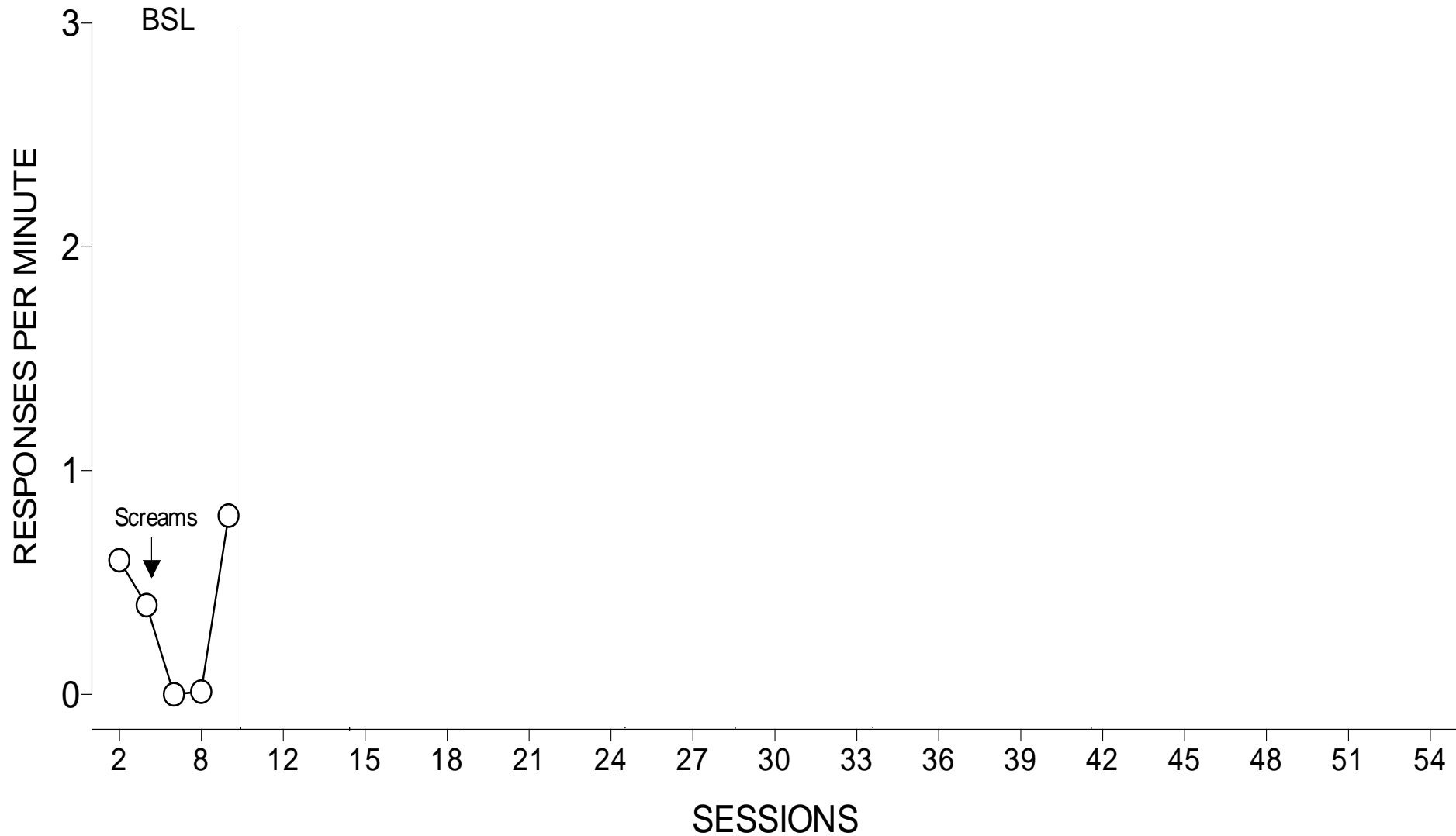


# Participant and Setting

Participant	Age	Diagnosis	Target Behavior	Setting
Adam	9	Autism Spectrum Disorder	Screams	Home

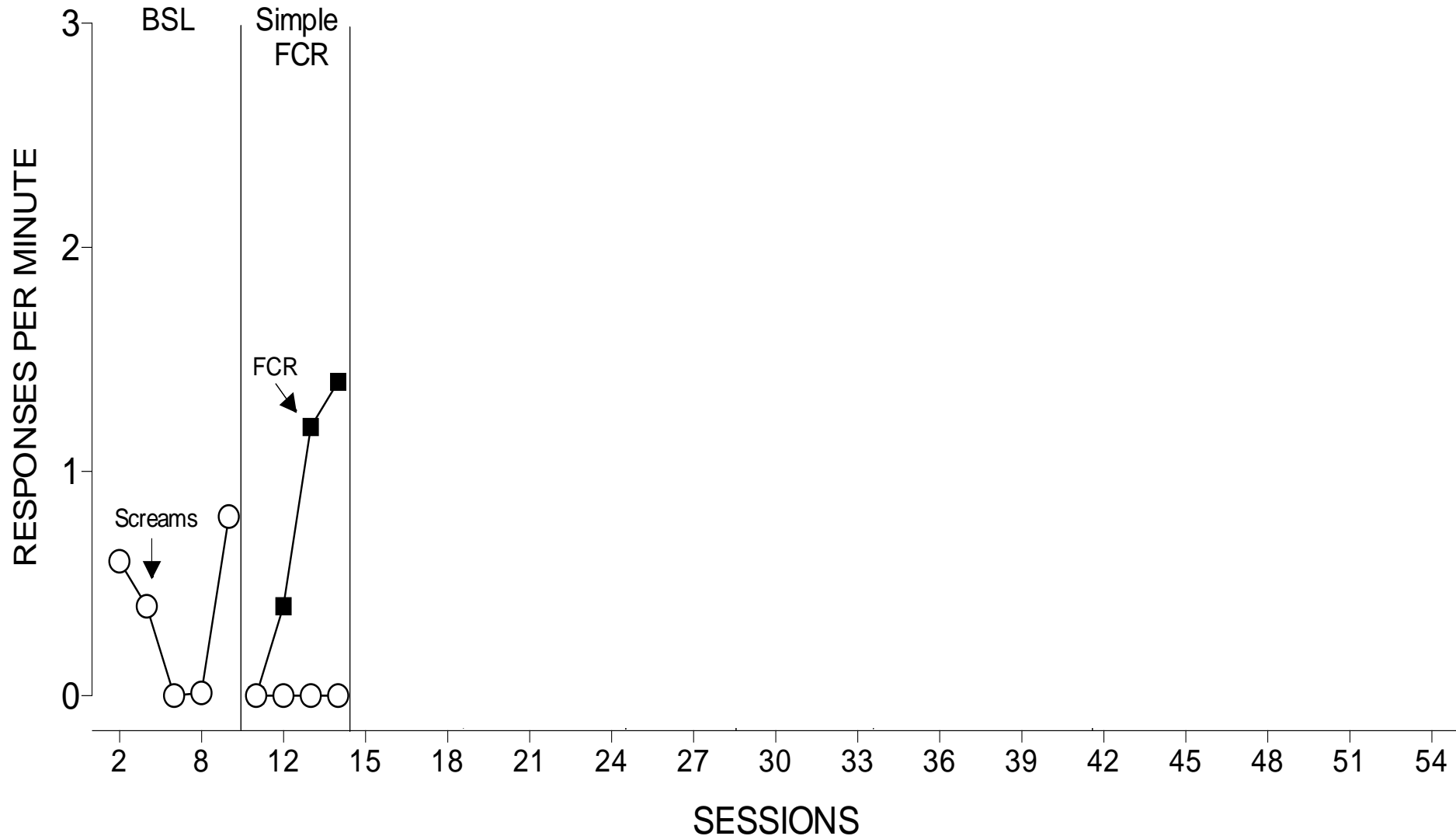
**Baseline:** FCR → Extinction Screams → 30 s demand removal and iPad delivery

**Baseline:** FCR → Extinction Screams → 30 s demand removal and iPad delivery



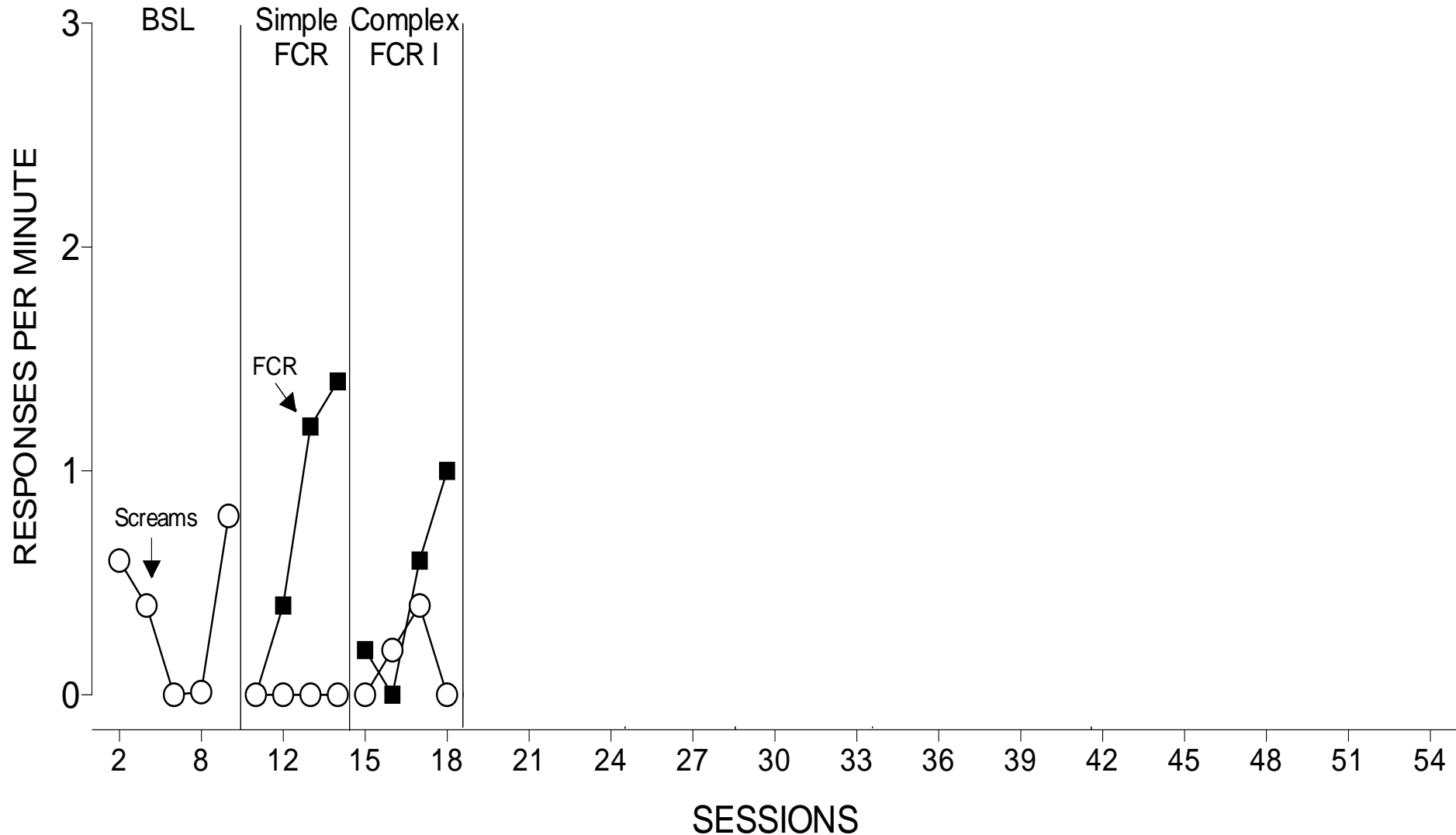
**Simple FCR:** “More, please!” → 30 s demand removal and iPad access; Screams → Extinction

# Simple FCR: “More, please!” → 30 s demand removal and iPad access; Screams → Extinction



**Complex FCR I:** Orients toward therapist with eye contact → “I want more, please!” → 30 s demand removal and iPad delivery

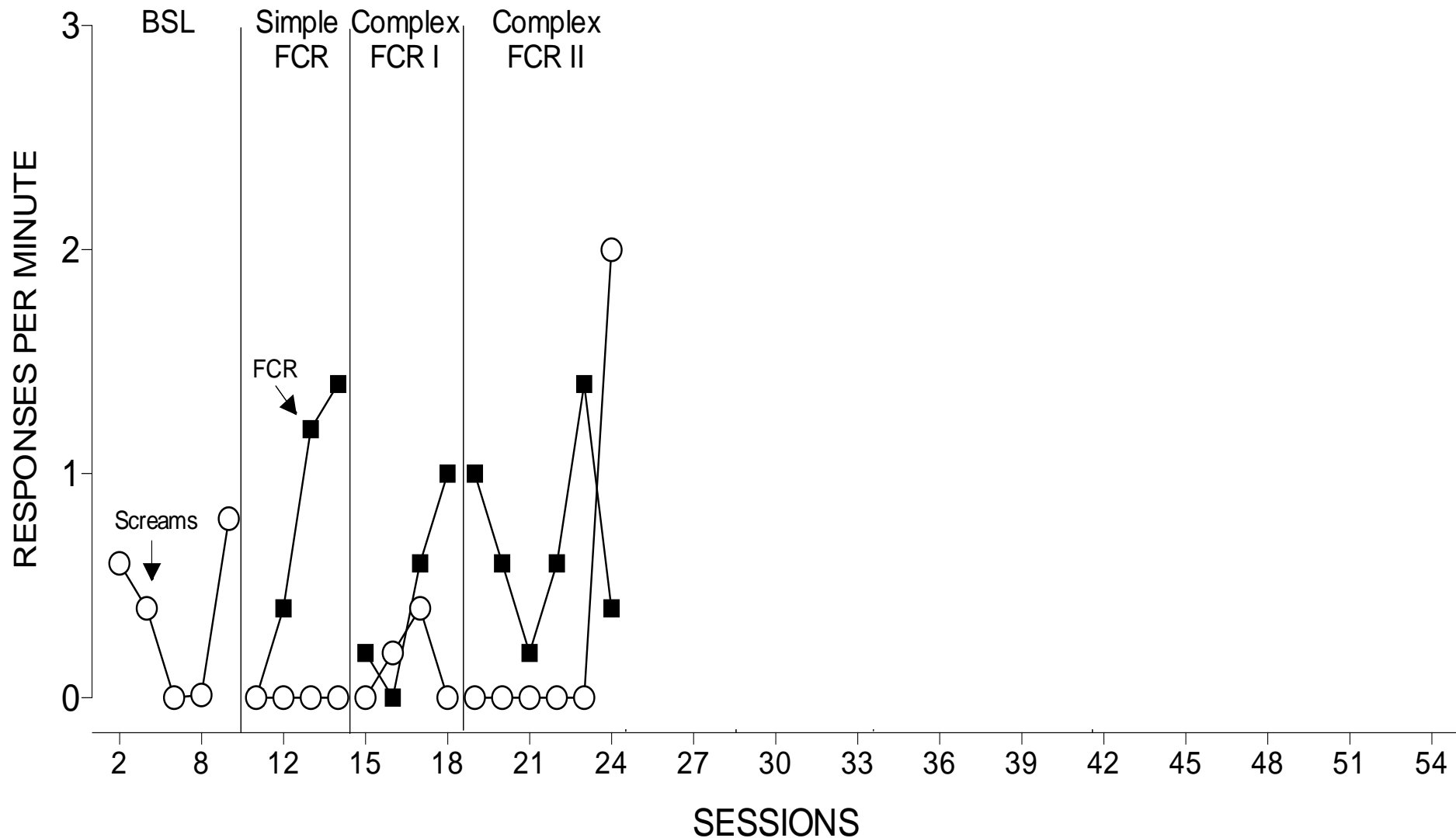
**Complex FCR I:** Orients toward therapist with eye contact → “I want more, please!” → 30 s demand removal and iPad delivery



**Complex FCR II:** Orients toward therapist with eye contact → taps therapist → “I want more, please!” → 30 s demand removal and iPad delivery

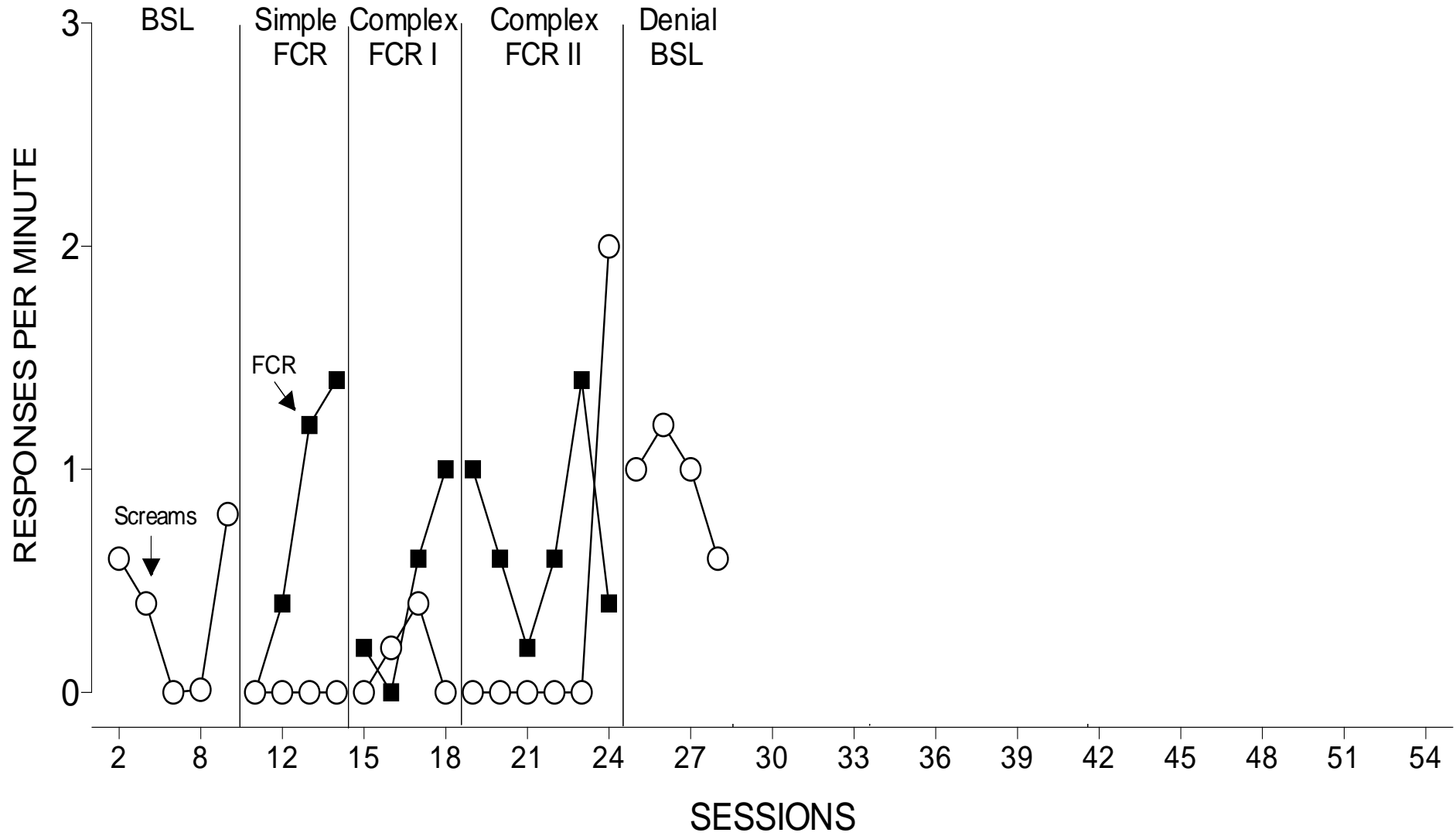


**Complex FCR II:** Orients toward therapist with eye contact → taps therapist → “I want more, please!” → 30 s demand removal and iPad delivery



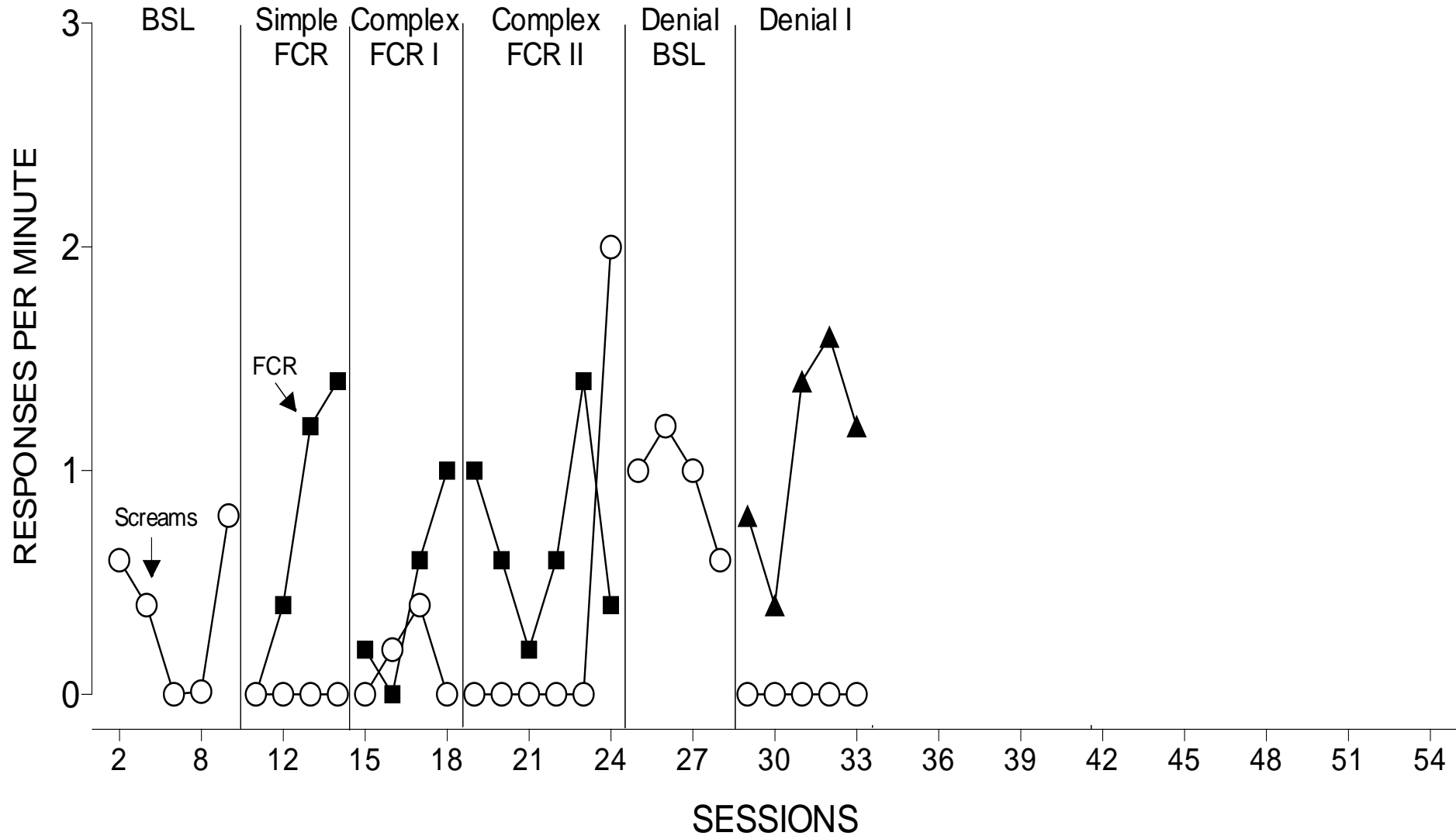
**Denial Baseline:** Complex FCR III → “Not right now”; Screams → demand removal and 30 s iPad delivery

**Denial Baseline:** Complex FCR III → “Not right now”; Screams → demand removal and 30 s iPad delivery



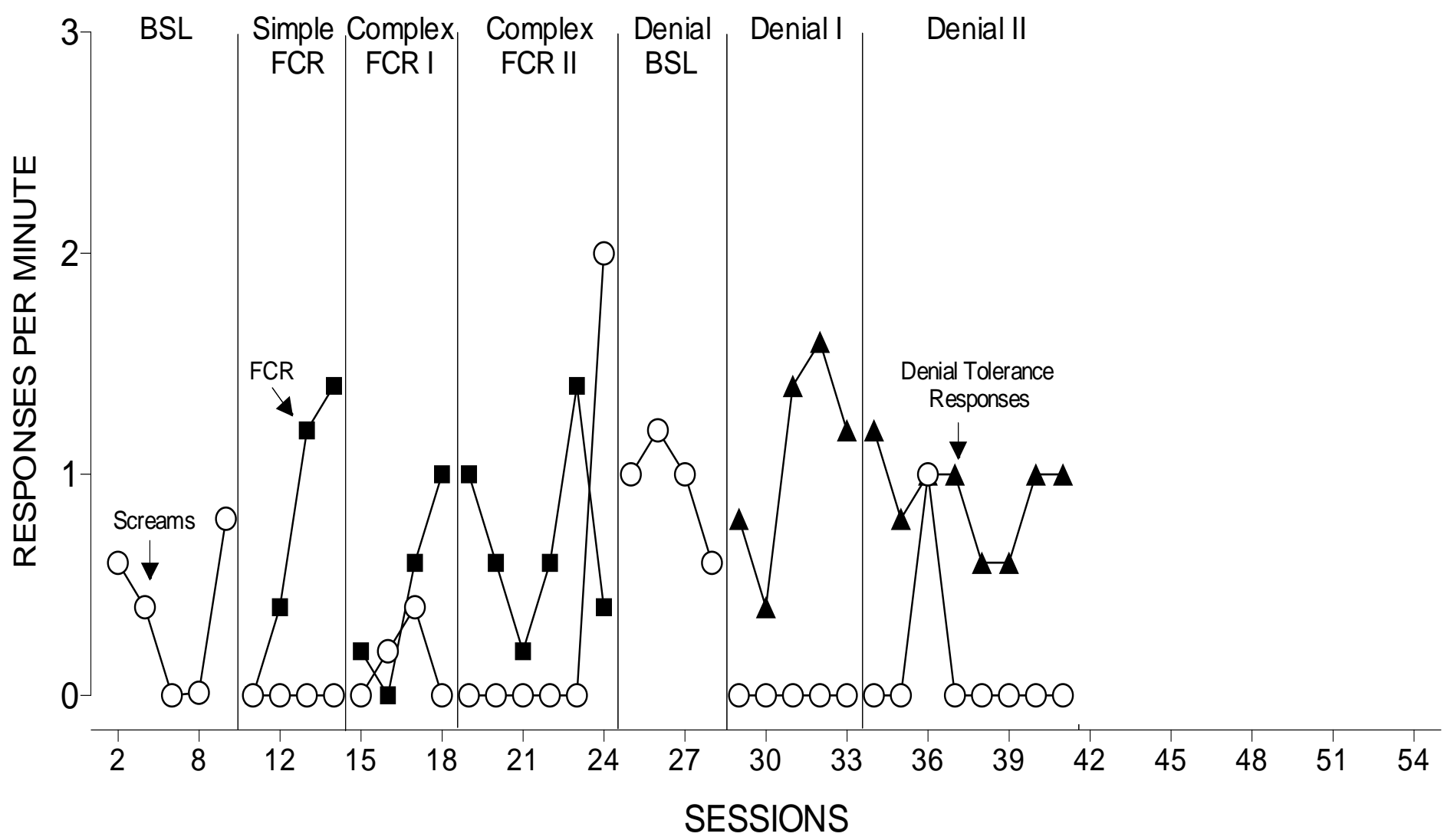
**Denial I:** Complex FCR III → “Not right now” → “Okay” → demand removal and 30 s iPad delivery

# Denial I: Complex FCR III → “Not right now” → “Okay” → demand removal and 30 s iPad delivery



**Denial II:** Complex FCR III → “Not right now” → “Okay” → takes a deep breath → demand removal and 30 s iPad delivery

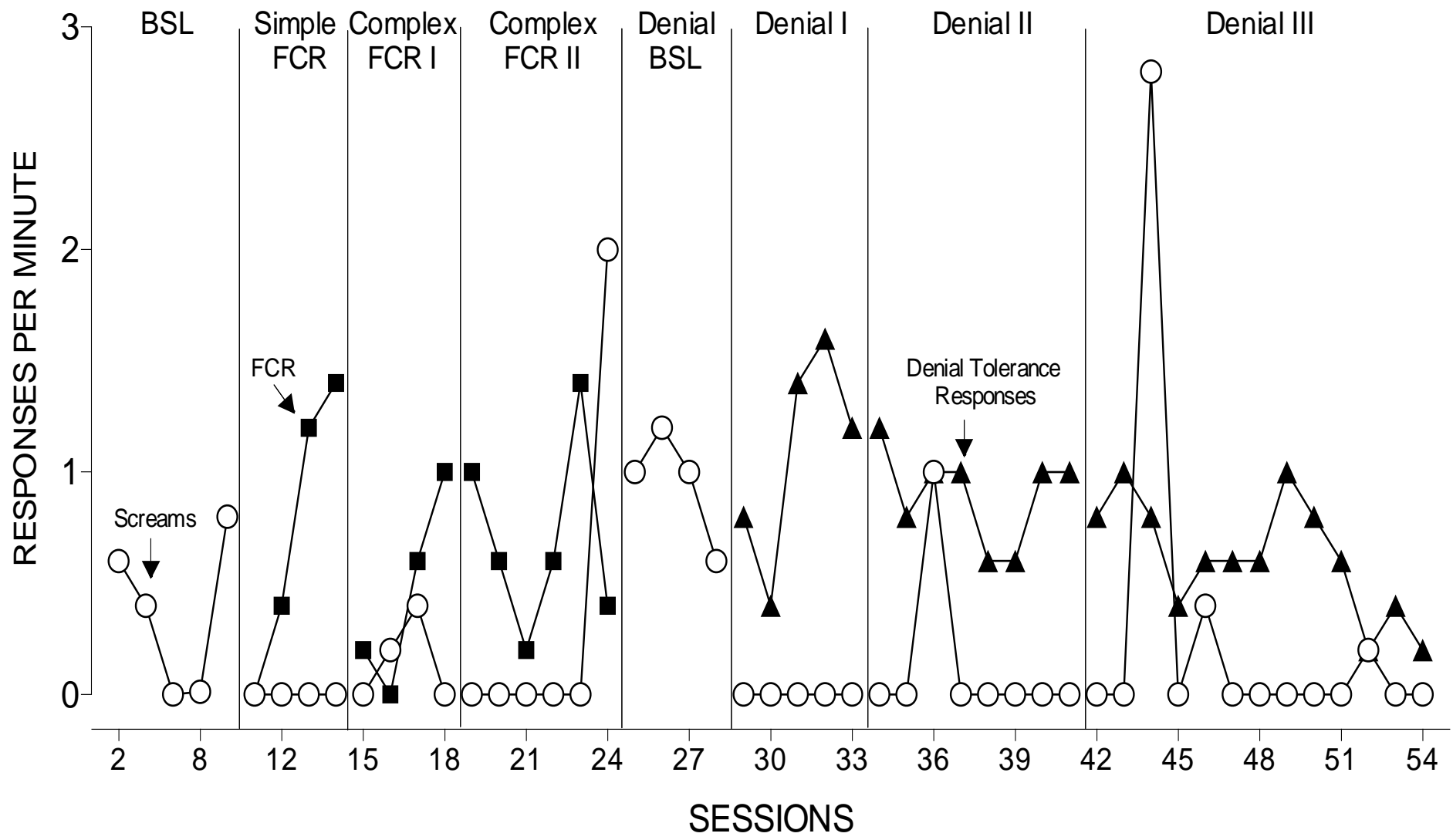
**Denial II:** Complex FCR III → “Not right now” → “Okay” → takes a deep breath → demand removal and 30 s iPad delivery



**Denial III:** Complex FCR III → “Not right now” → “Okay” → takes a deep breath → completes task → demand removal and 30 s iPad delivery

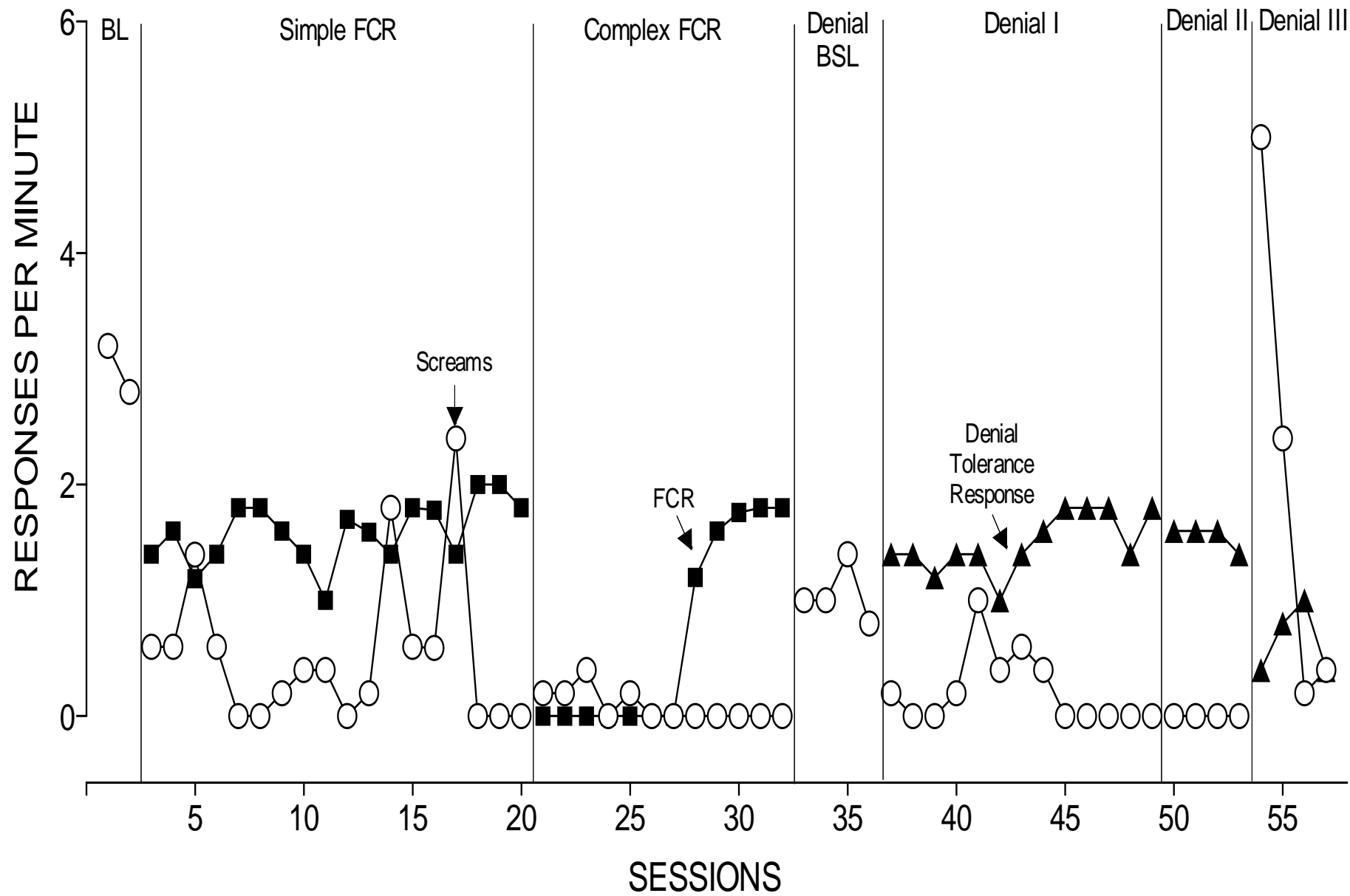


**Denial III:** Complex FCR III → “Not right now” → “Okay” → takes a deep breath → completes task → demand removal and 30 s iPad delivery



# Participant and Setting

Participant	Age	Diagnosis	Target Behavior	Setting
Lola	6	PDD-NOS	Screams	Home



# Participant and Setting

Participant	Age	Diagnosis	Target Behavior	Setting
Joseph	20	Autism Spectrum Disorder	Vocal Protests & Aggression	Home

# Joseph: Treatment Analysis

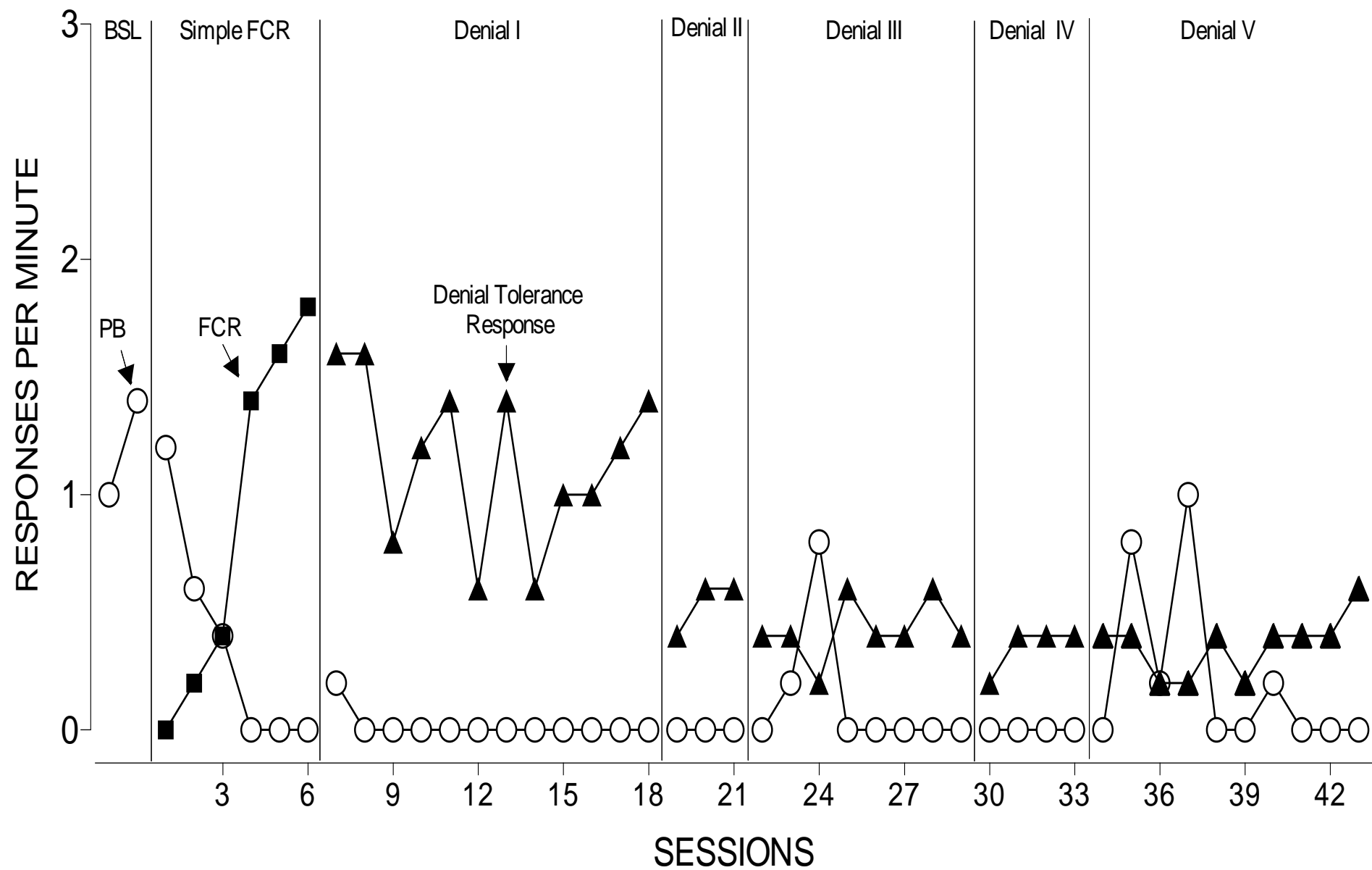
- Denial Training without Extinction
  - Problem behavior produced 30 s demand removal and tangible delivery

# Denial Tolerance Training

- Indiscriminable Contingencies

# Denial Tolerance Training

- Indiscriminable Contingencies
  - Contingencies in which make it difficult for the learner to predict when reinforcement will be delivered
  - Randomly intersperse chains from the treatment analysis throughout the intervention





# Results

Participant	Problem Behavior: Reduction from Baseline	Modification
Adam	41%	No substantial modification
Lola	86%	Isolated contingency in analysis
Joseph	91%	No extinction

# Limitations

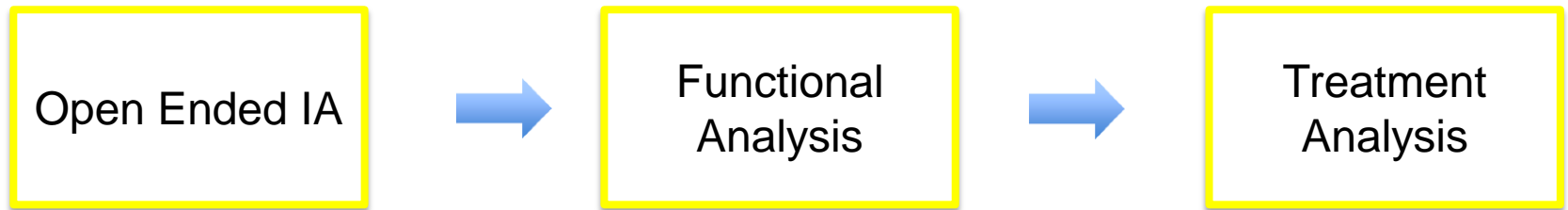
- Evidence of generalization to parents, novel settings and evocative events.

# Limitations

- Evidence of generalization to parents, novel settings and evocative events.
- No systematic decision criteria for determining when to add complexity to mands/denial chain completion

# Summary

- Conversion to the new lore allows for practitioners to employ systematic and behavior analytic interventions in the home setting (Hanley, 2012)



Thank you!

# Assessment and Treatment of Problem Behavior Exhibited in the Community

Kara A. LaCroix

Kevin J. Schlichenmeyer

Kimberly A. Diggs



# Introduction

Setting	Number of Studies Published
Hospital (inpatient)	90
School	70
Clinic (outpatient)	34
Home	25
Institution	10
Vocational Program	9
Community	1

# Previous Research

- Functional Behavior Assessment (Carr & Carlson, 1983)
  - Observation without manipulation



# Previous Research

- **Functional Behavior Assessment** (Carr & Carlson, 1983)
  - Observation without manipulation
- **Functional analysis** (Tarbox et al., 2003)
  - Contingencies relevant to community settings?

# Community-Based Functional Analysis

- **Conceptual Challenges**
  - Relevant antecedents and consequences events difficult to manipulate

# Community-Based Functional Analysis

- **Conceptual Challenges**

- Relevant antecedents and consequences events difficult to manipulate
- Contingencies responsible for problem behavior may vary across settings (Lang et al., 2010, 2009, 2008)

# Community-Based Functional Analysis

- **Conceptual Challenges**

- Relevant antecedents and consequences events difficult to manipulate
- Contingencies responsible for problem behavior may vary across settings (Lang et al., 2010, 2009, 2008)

- **Logistical Challenges**

- Repeated exposure to contingencies impractical

# Community-Based Functional Analysis

- **Conceptual Challenges**

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- Risks of evoking challenging behavior in the community

# Community-Based Functional Analysis

- **Conceptual Challenges**

- Relevant antecedents and consequences events difficult to manipulate
- Contingencies responsible for problem behavior may vary across settings (Lang et al., 2010, 2009, 2008)

- **Logistical Challenges**

- Repeated exposure to contingencies impractical
- Risks of evoking challenging behavior in the community

- **Ethical Challenges**

- Maintain client dignity (BACB, 2014)

# Community-Based Functional Analysis

- **Conceptual Challenges**

- Relevant antecedents and consequences events difficult to manipulate
- Contingencies responsible for problem behavior may vary across settings (Lang et al., 2010, 2009, 2008)

- **Logistical Challenges**

- Repeated exposure to contingencies impractical
- Risks of evoking challenging behavior in the community

- **Ethical Challenges**

- Maintain client dignity (BACB, 2014)
- Precursor behavior

# Recent Advances in Functional Analysis Methodology



# Recent Advances in Functional Analysis Methodology

- **Bloom and Colleagues (2011)**
  - 2 Minute test and control conditions
  - Terminate trial upon first occurrence of problem behavior
  - No visual inspection of data in a linear fashion

# Recent Advances in Functional Analysis Methodology

- **Bloom and Colleagues (2011)**
  - 2 Minute test and control conditions
  - Terminate trial upon first occurrence of problem behavior
  - No visual inspection of data in a linear fashion
- **Thomason-Sassi and Colleagues (2011)**
  - Latency as a response measure
  - Terminate trial upon first occurrence of problem behavior
  - Visual Inspection of data

# Purpose of Study

- Extend previous research through application of emerging functional analysis technology into community settings
- Develop comprehensive intervention in these settings

# Participants

Participant	Age	Diagnosis	Target Behavior	Setting
Lilly	7	PDD-NOS	Screams	Sidewalk Downtown (Dunkin Donuts)

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Lilly	7	PDD-NOS	Screams	Sidewalk Downtown (Dunkin Donuts)
Dwight	14	Autism Spectrum Disorder	Whines	Staples, Home Depot, Grocery Store

# Participants

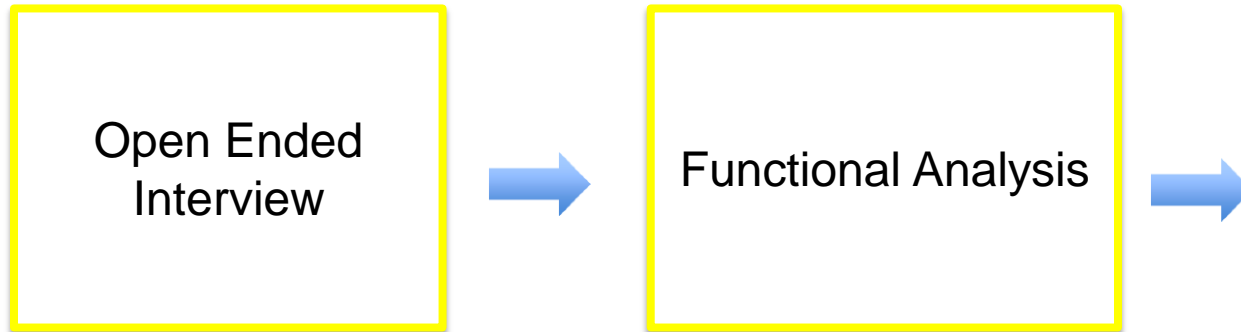
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Lilly	7	PDD-NOS	Screams	Sidewalk Downtown (Dunkin Donuts)
Dwight	14	Autism Spectrum Disorder	Whines	Staples, Home Depot, Grocery Store
Aaron	9	PDD-NOS	Elopement	Target

# Treatment Progression

Open Ended  
Interview

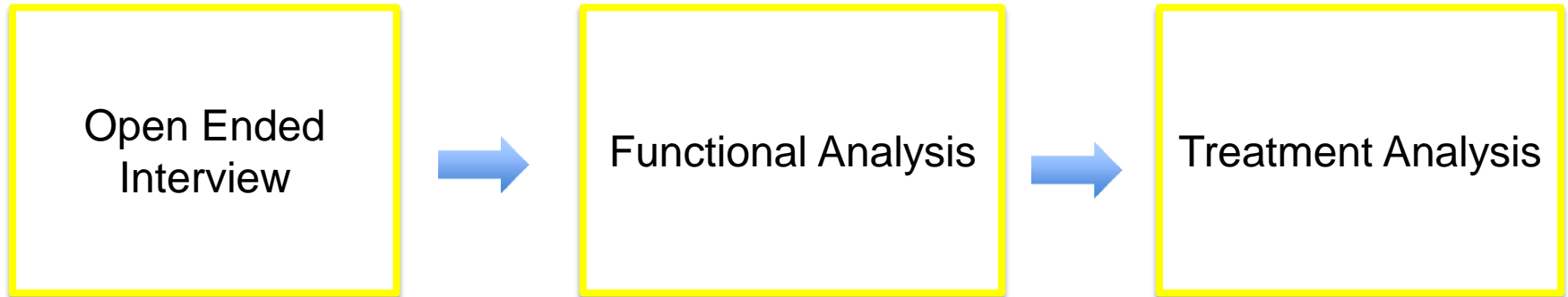


# Treatment Progression





# Treatment Progression



# Lilly: Functional Analysis

- **Test**

- “Walking to Dunkin Donuts is all done, let’s go home”
- Access to walking terminated
- Screams terminated trial

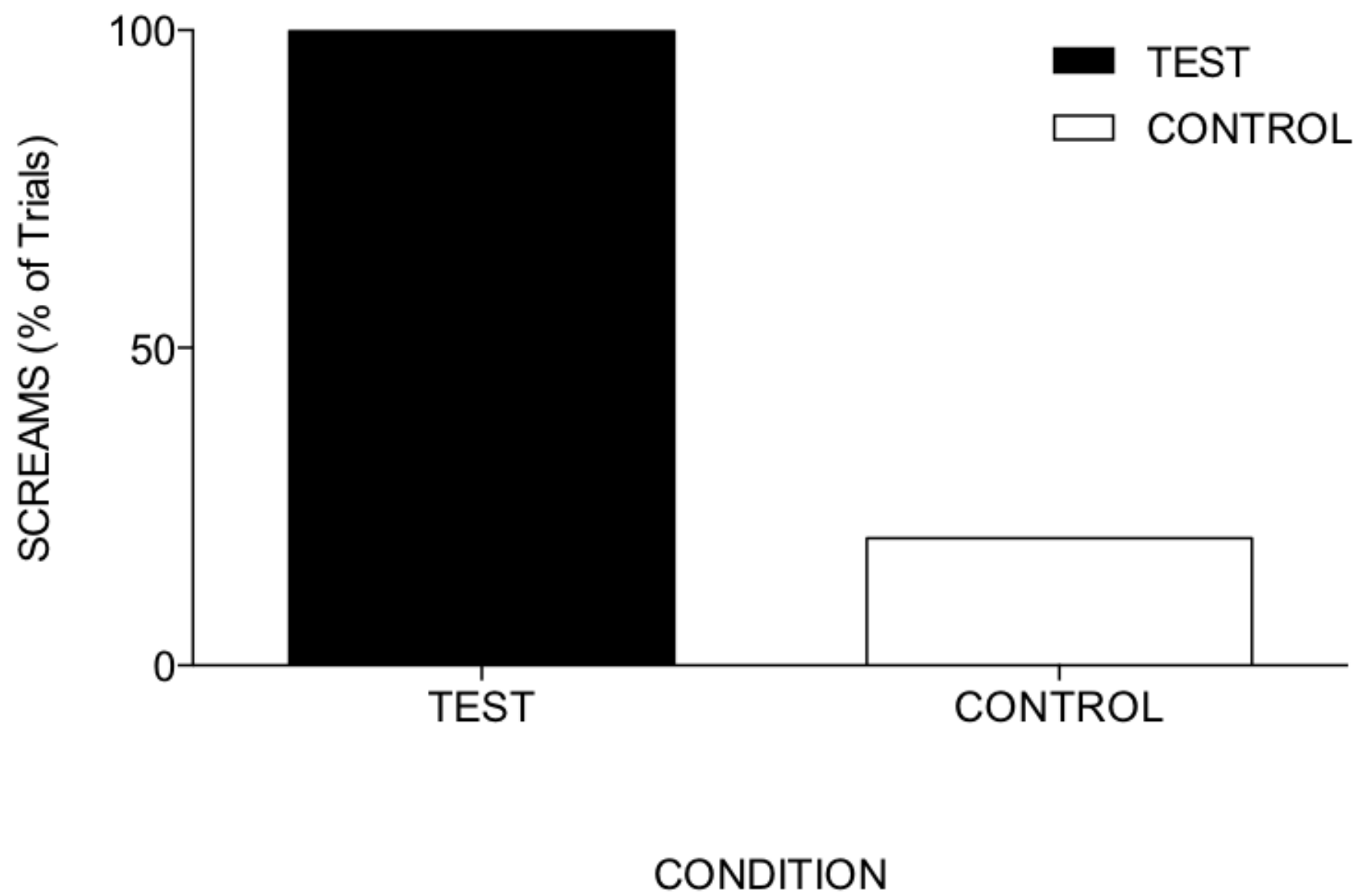
# Lilly: Functional Analysis

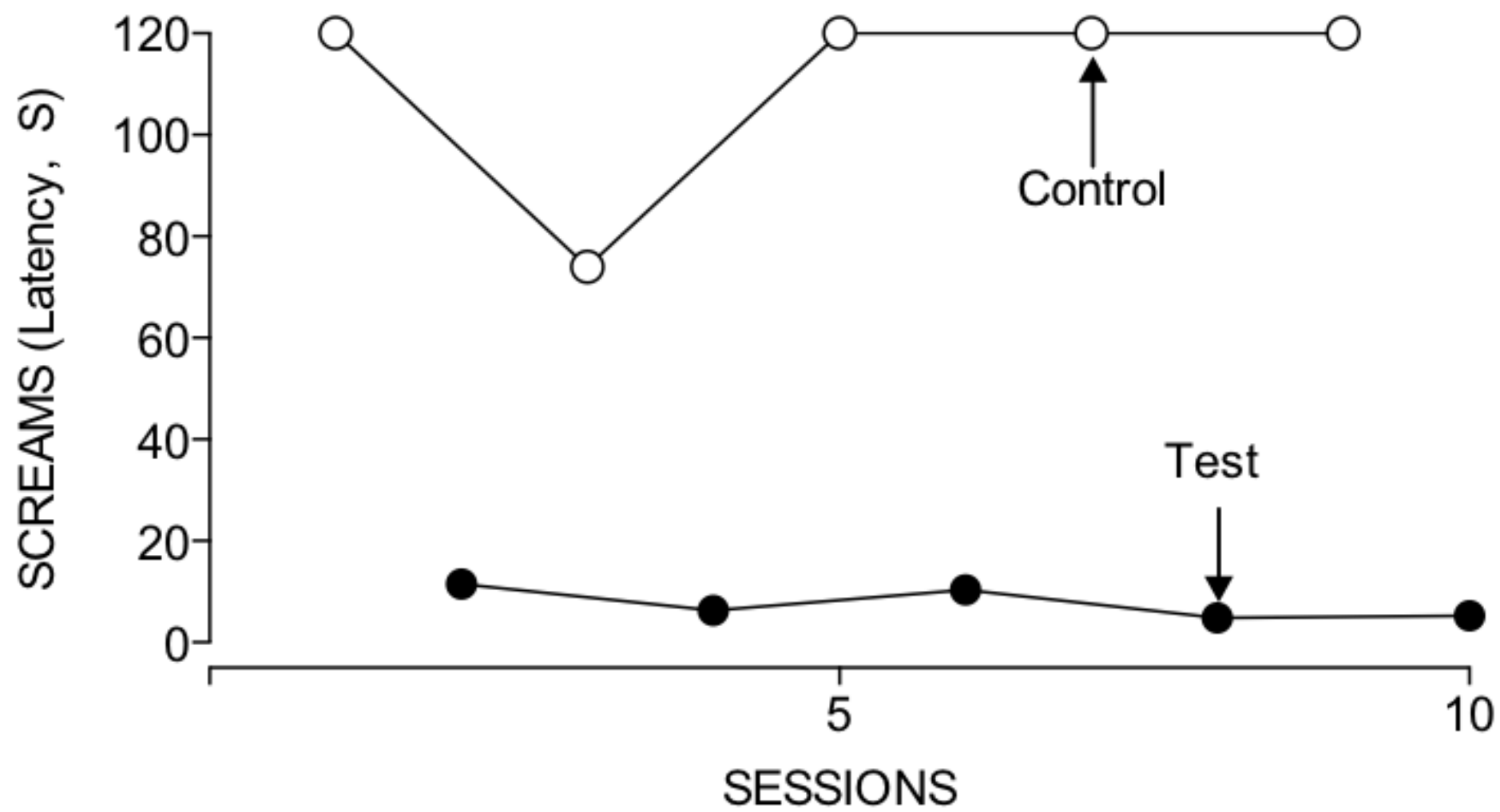
- **Test**

- “Walking to Dunkin Donuts is all done, let’s go home”
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- Screams terminated trial

- **Control**

- “Let’s go to Dunkin Donuts”
- Continuous access to walking
- Screams terminated trial





# Dwight: Functional Analysis

- **Test**
  - “Let’s go shopping at X location”
  - Enter store to begin shopping
  - Whines terminated trial

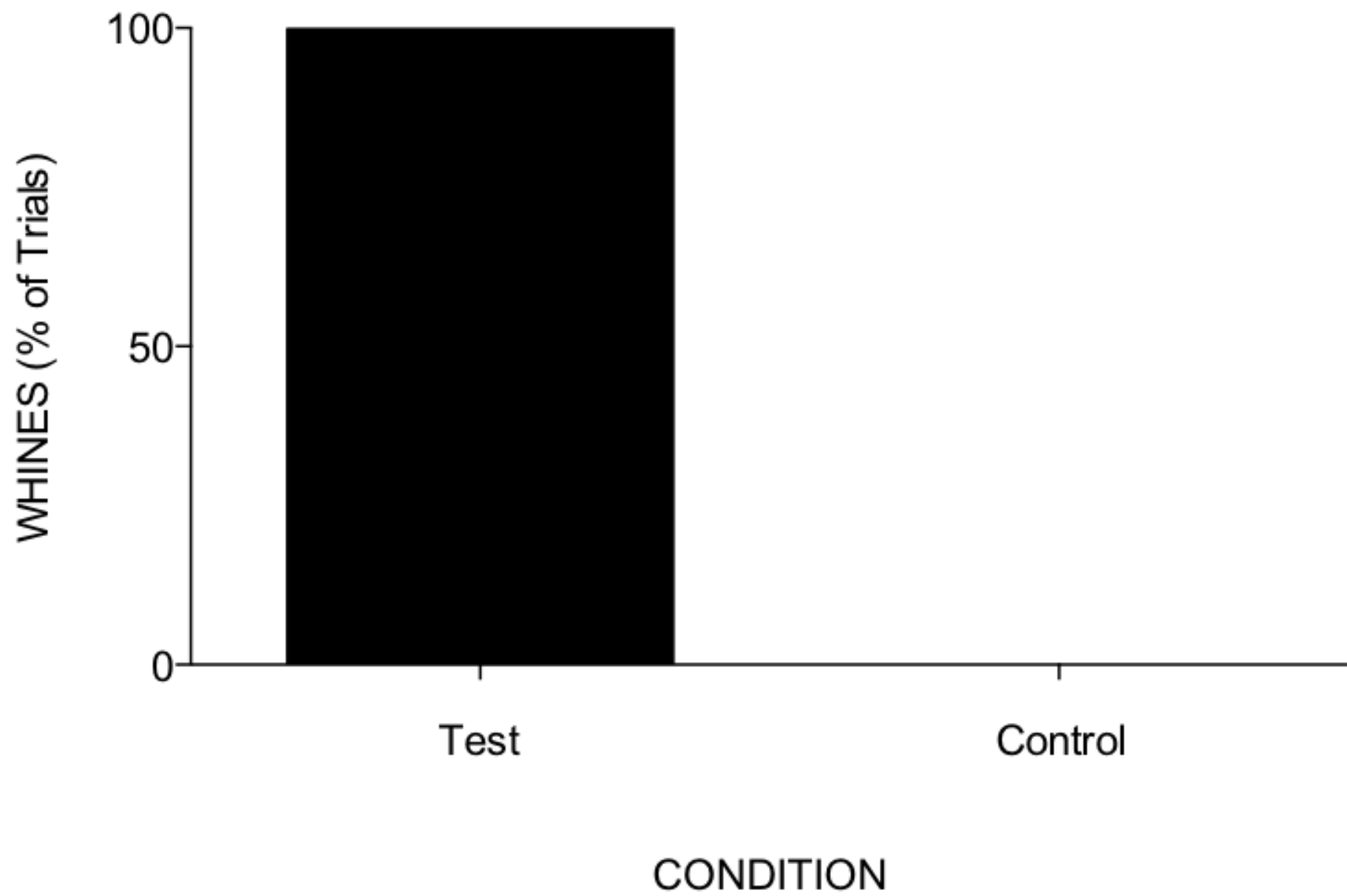
# Dwight: Functional Analysis

- **Test**

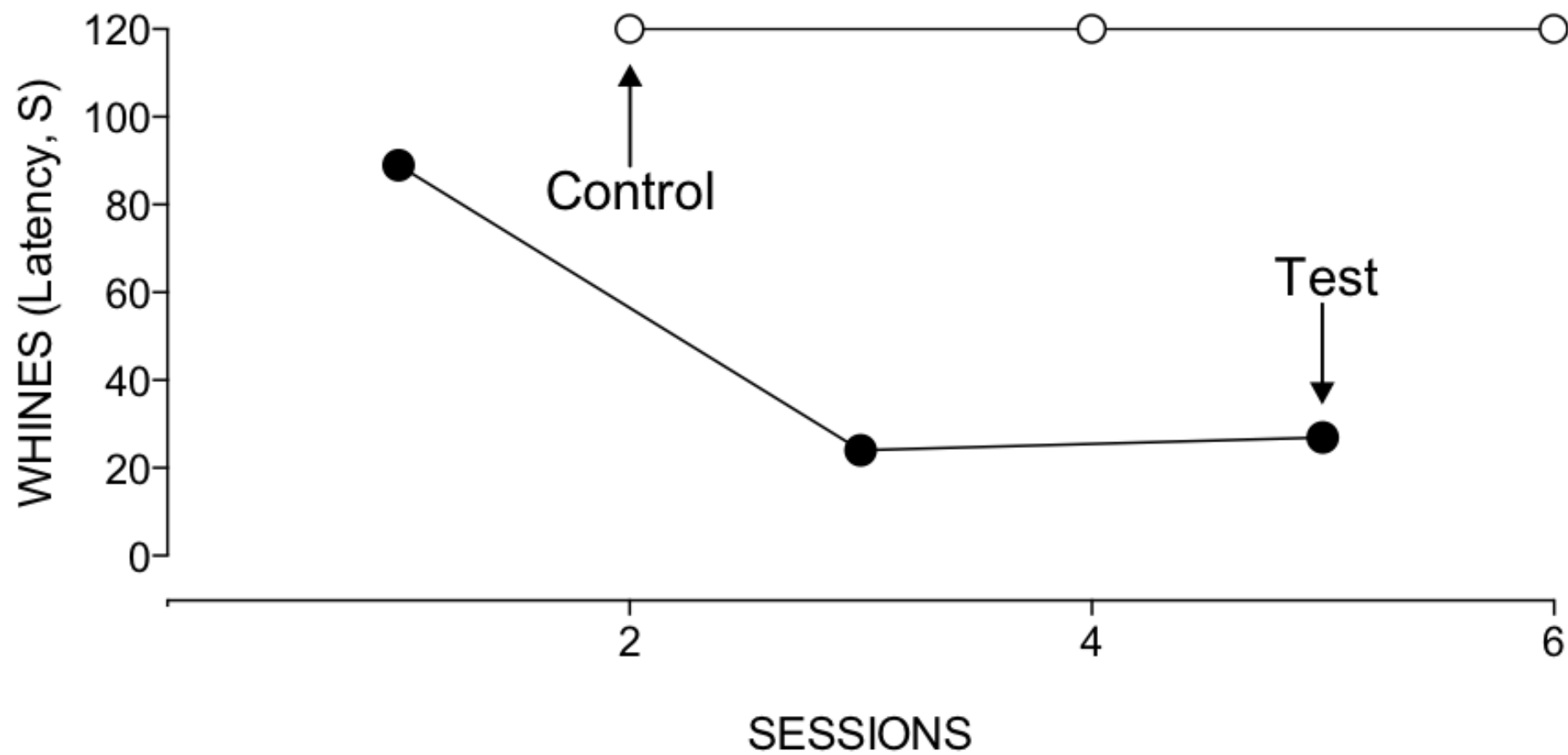
- “Let’s go shopping at X location”
- Enter store to begin shopping
- Whines terminated trial

- **Control**

- “X location is all done, let’s go to the car.”
- Continuous access to car and heading home
- Whines terminated trial







# Aaron: Functional Analysis

- **Test**
  - “Walk this way”
  - Electronics terminated, demand to walk presented
  - Elopement terminated trial

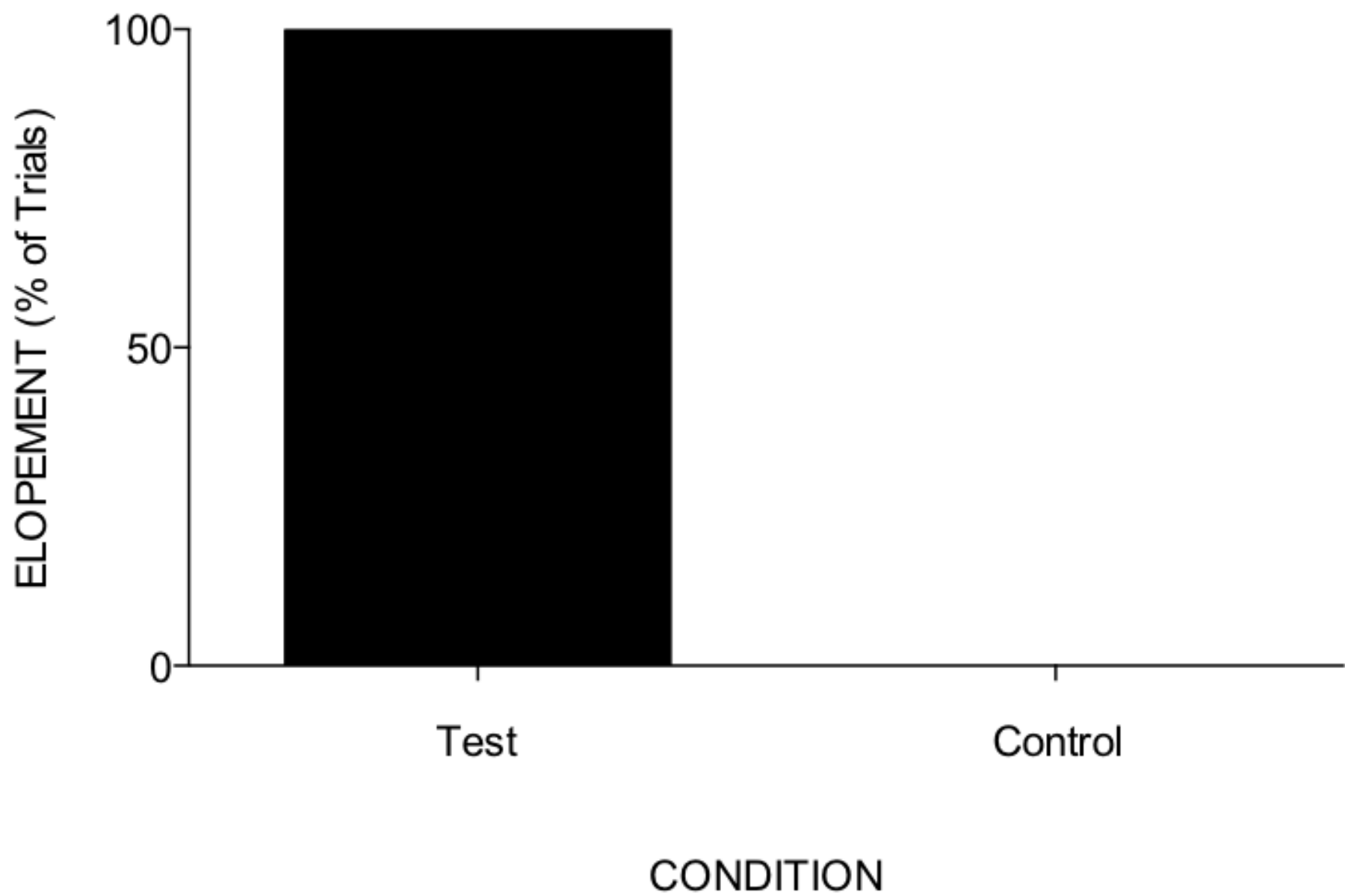
# Aaron: Functional Analysis

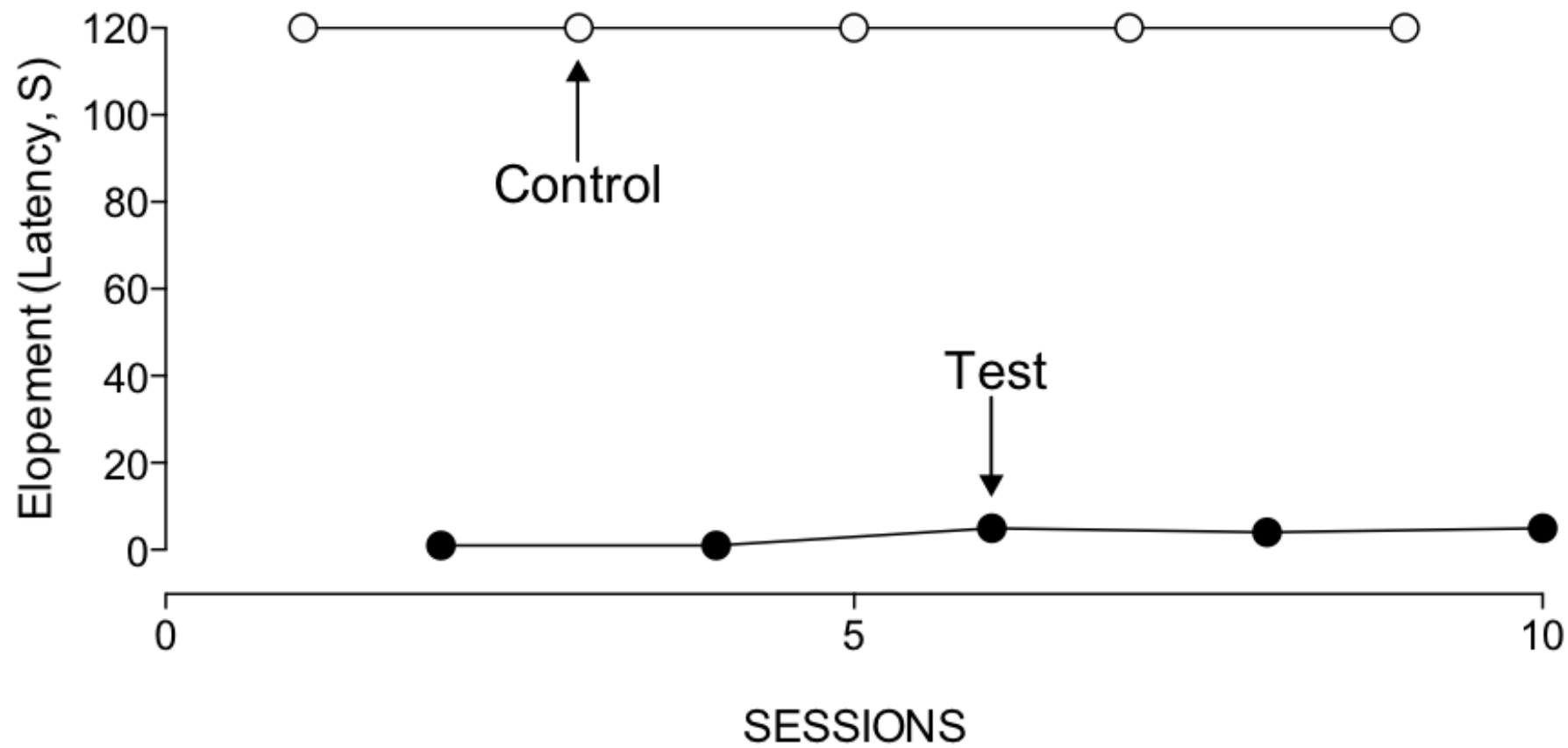
- **Test**

- “Walk this way”
- Electronics terminated, demand to walk presented
- Elopement terminated trial

- **Control**

- “You can go where ever you’d like.”
- Continuous access to electronics
- Elopement terminated trial





# Treatment

- Differential Reinforcement of Alternative Behavior (DRA)

# Treatment

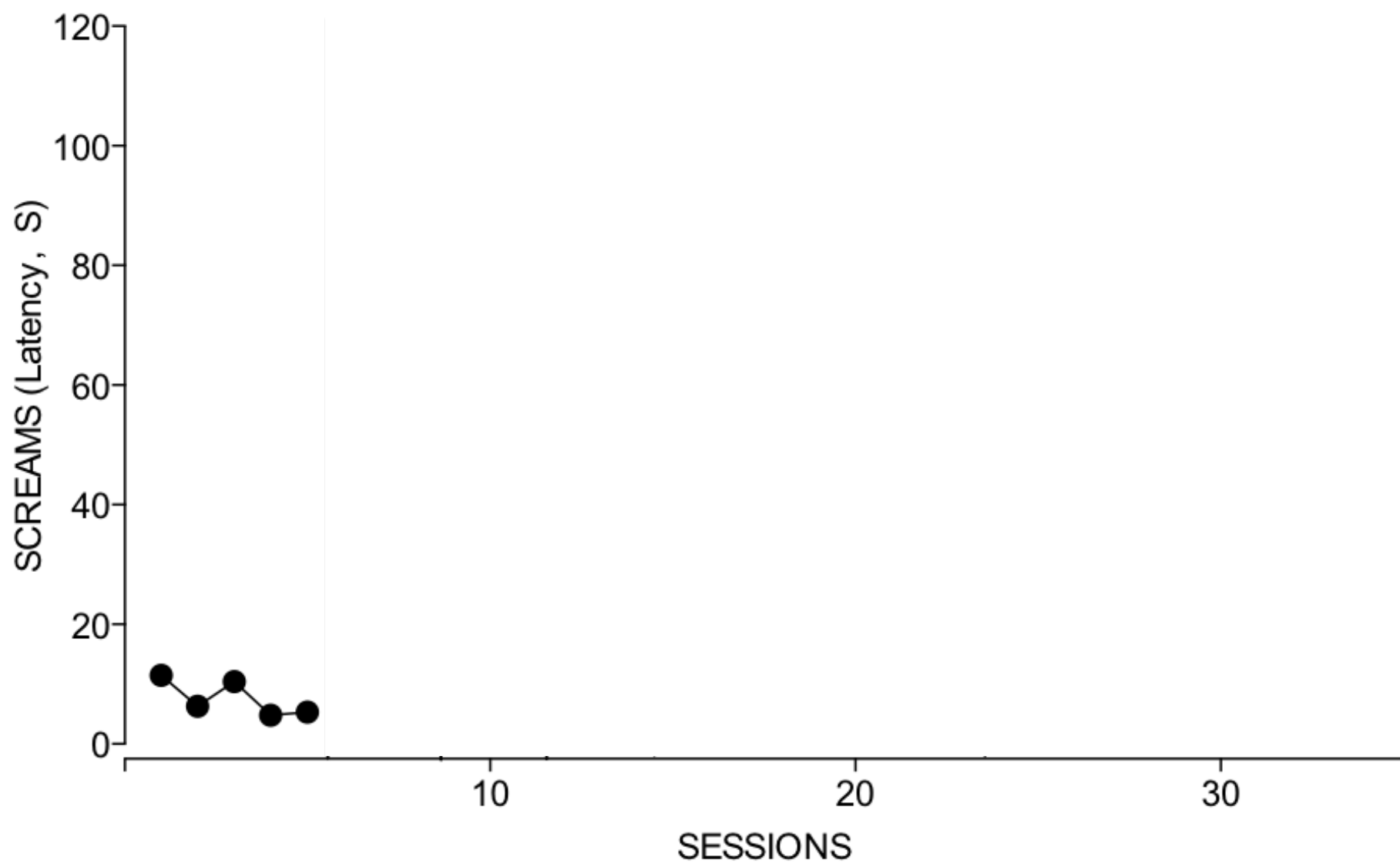
- Differential Reinforcement of Alternative Behavior (DRA)
- Denial Tolerance

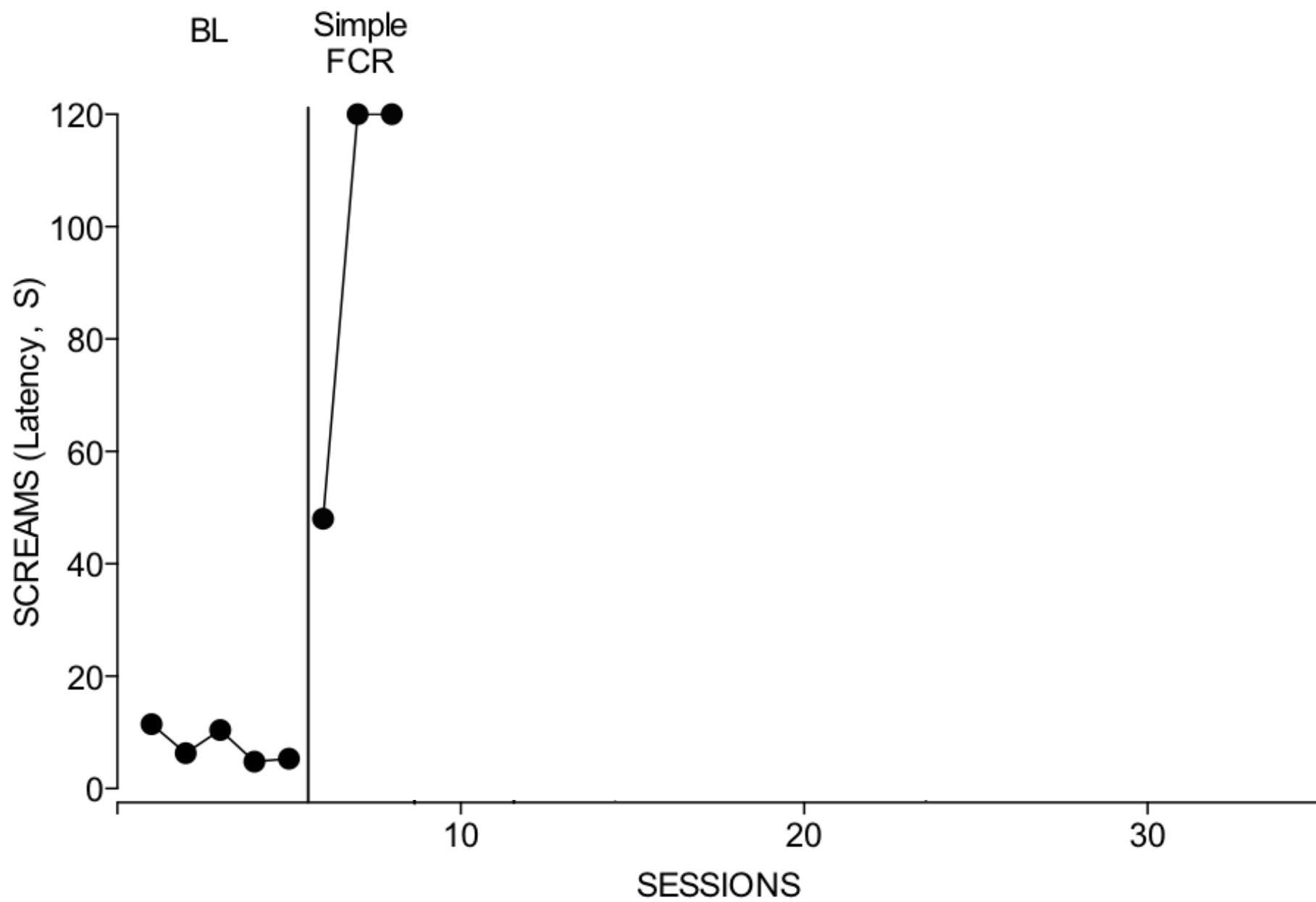
# Treatment

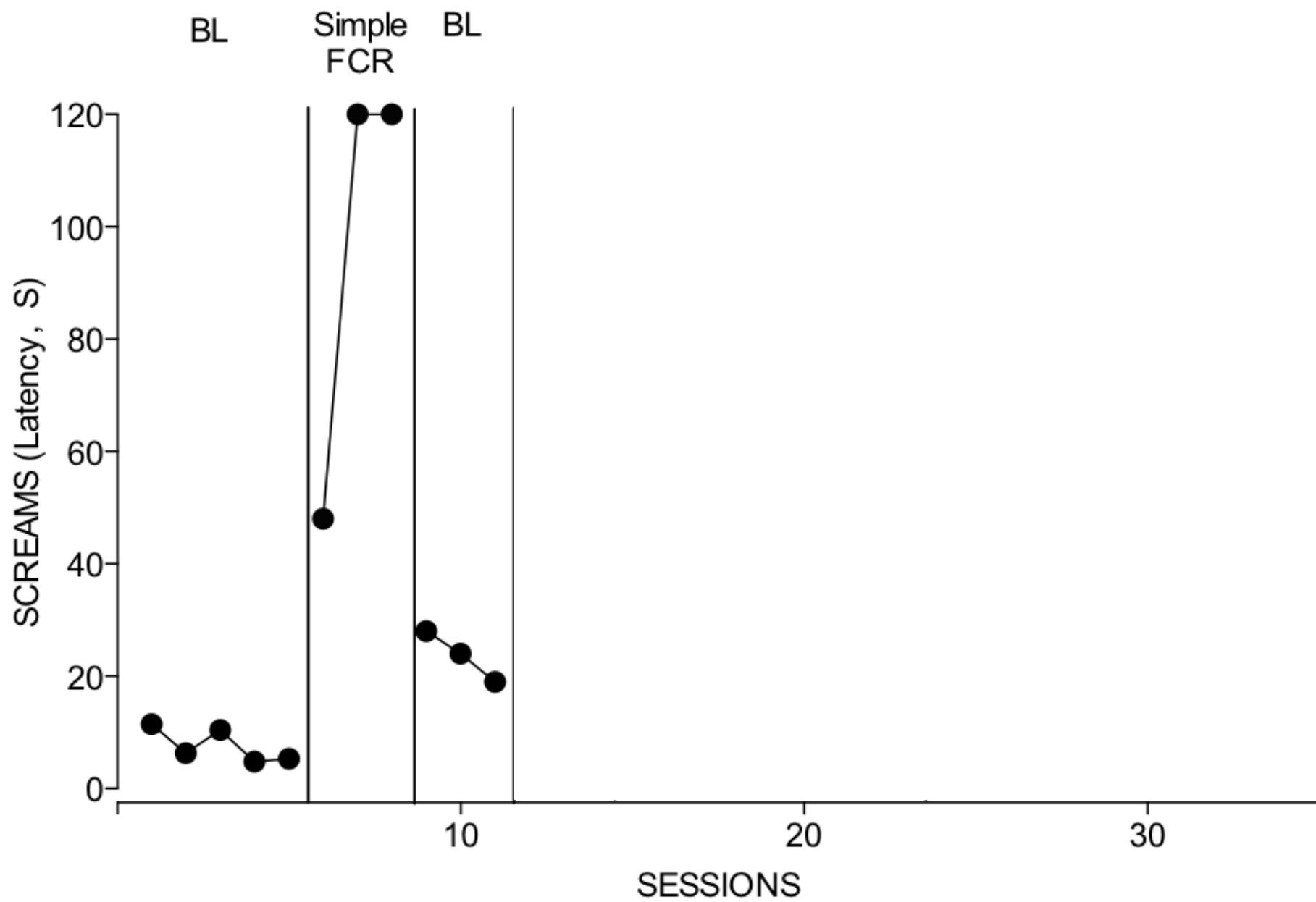
- Differential Reinforcement of Alternative Behavior (DRA)
- Denial Tolerance
- Experimental Design
  - Reversal
  - Changing Criterion

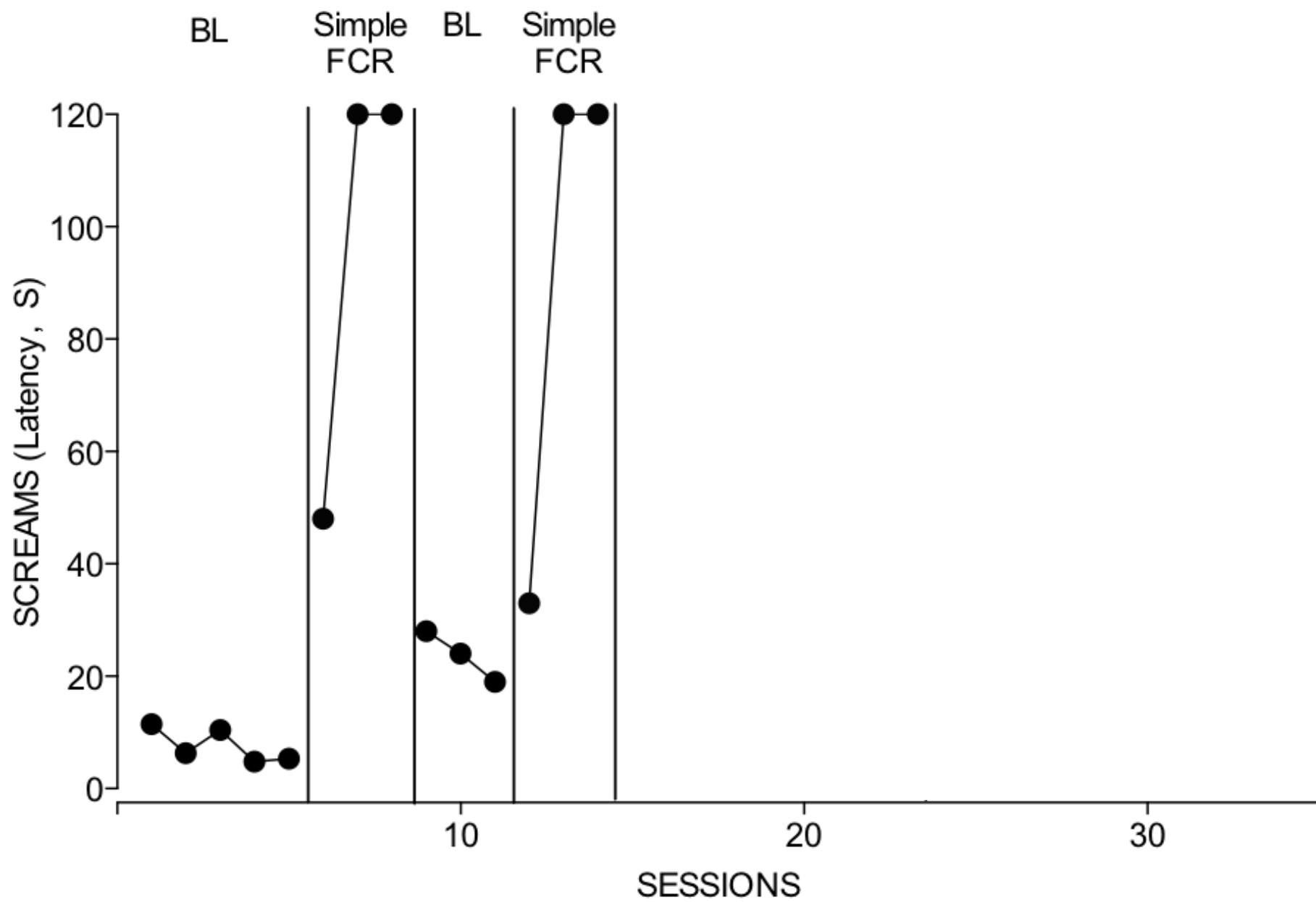


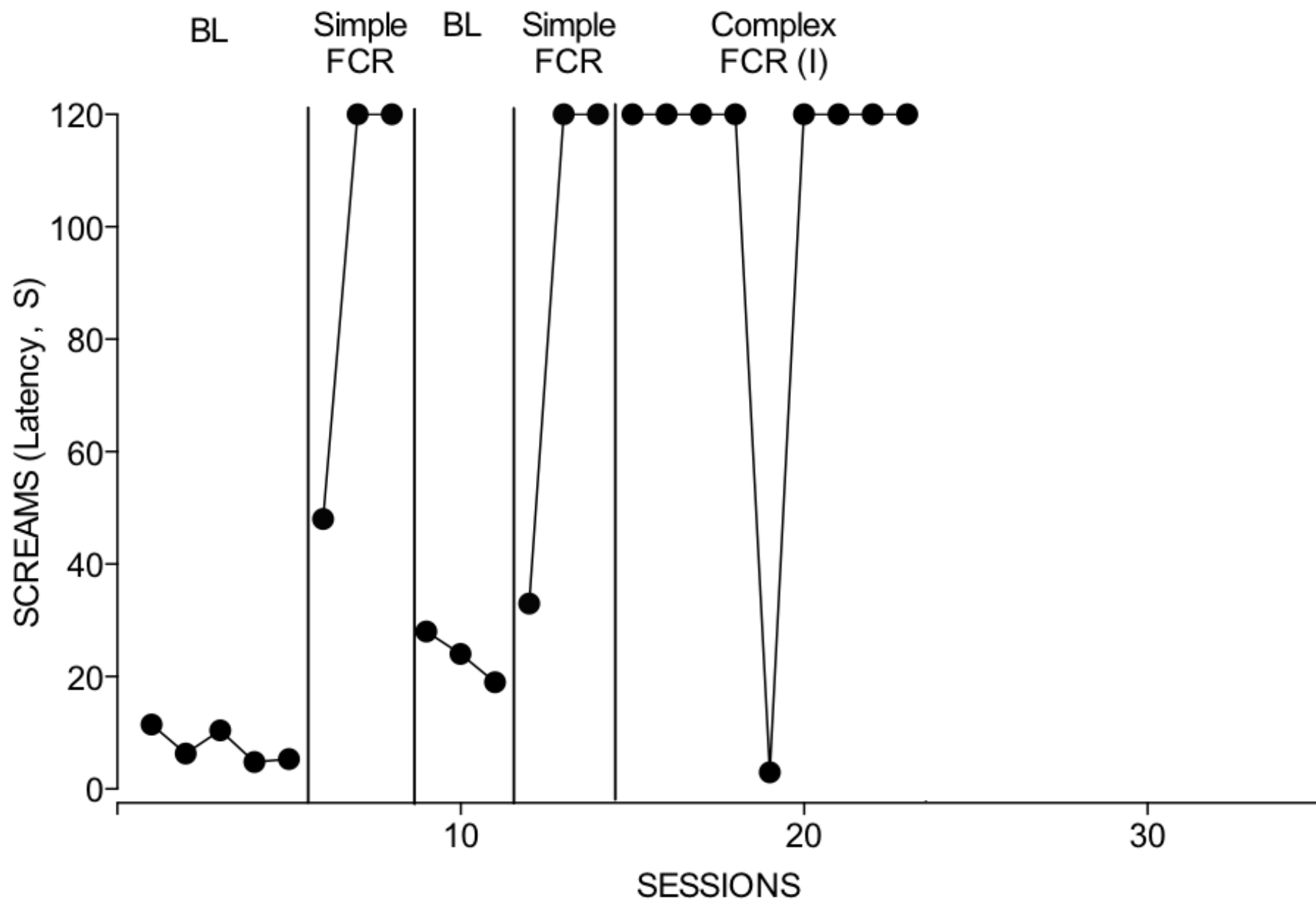
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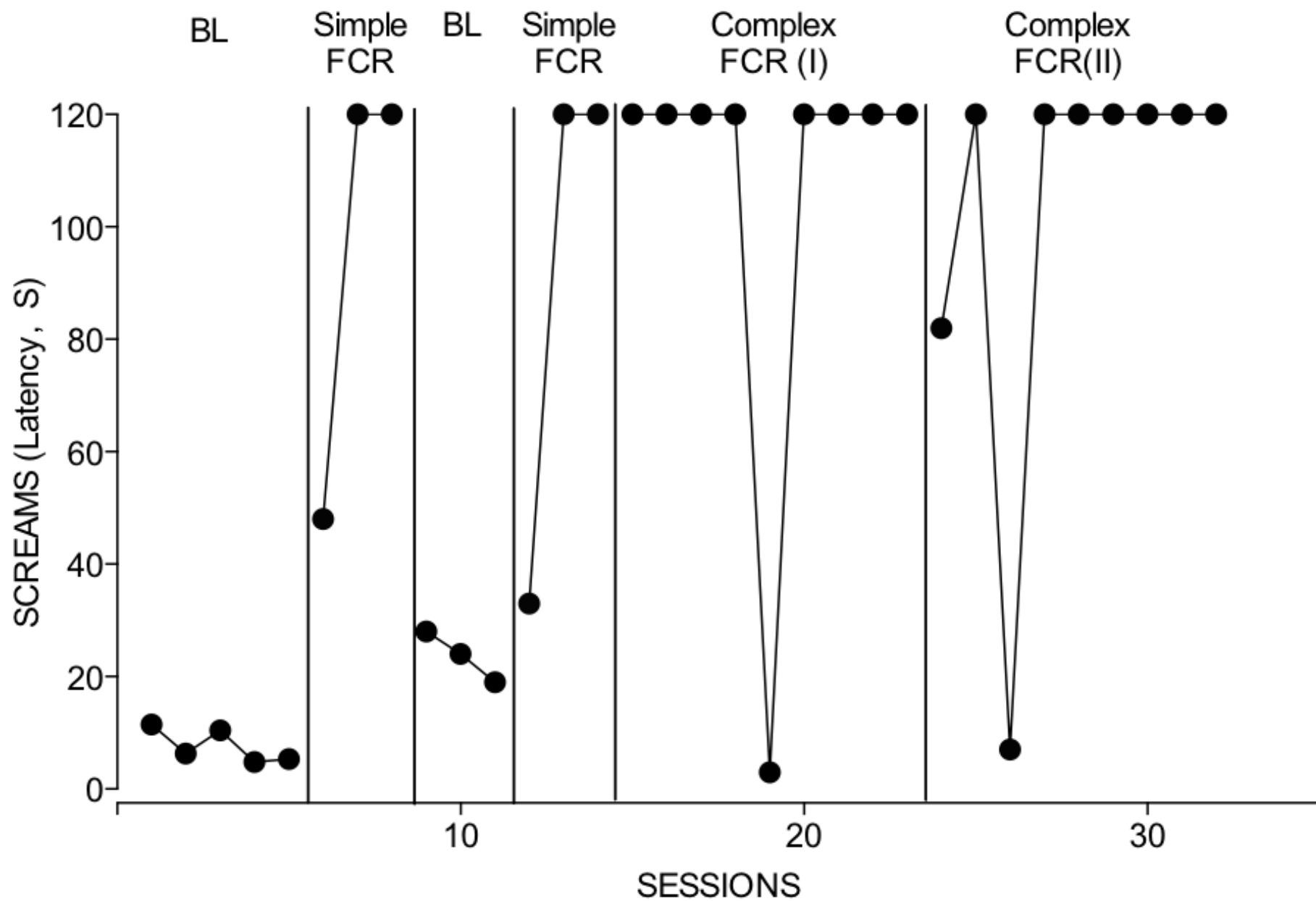


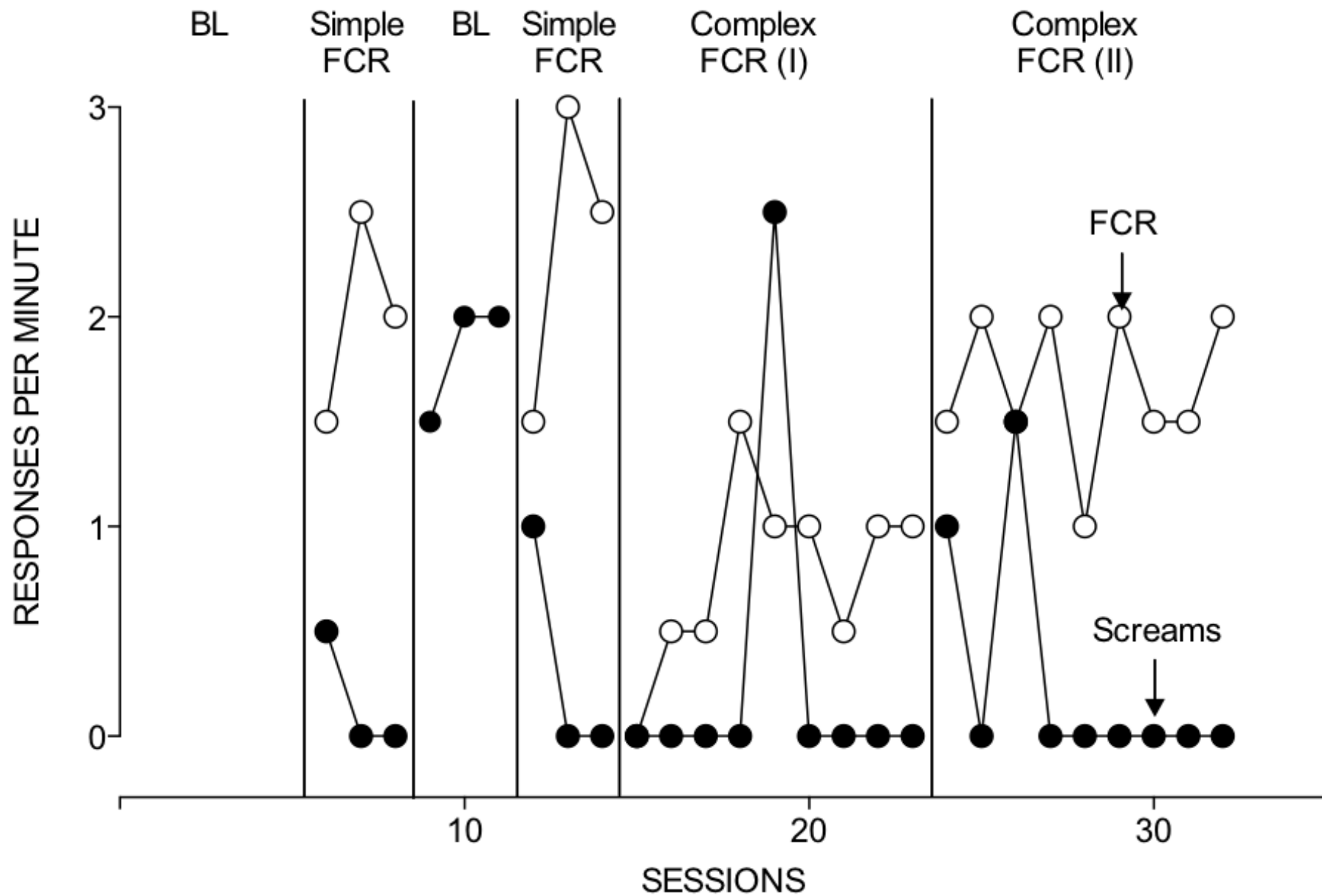


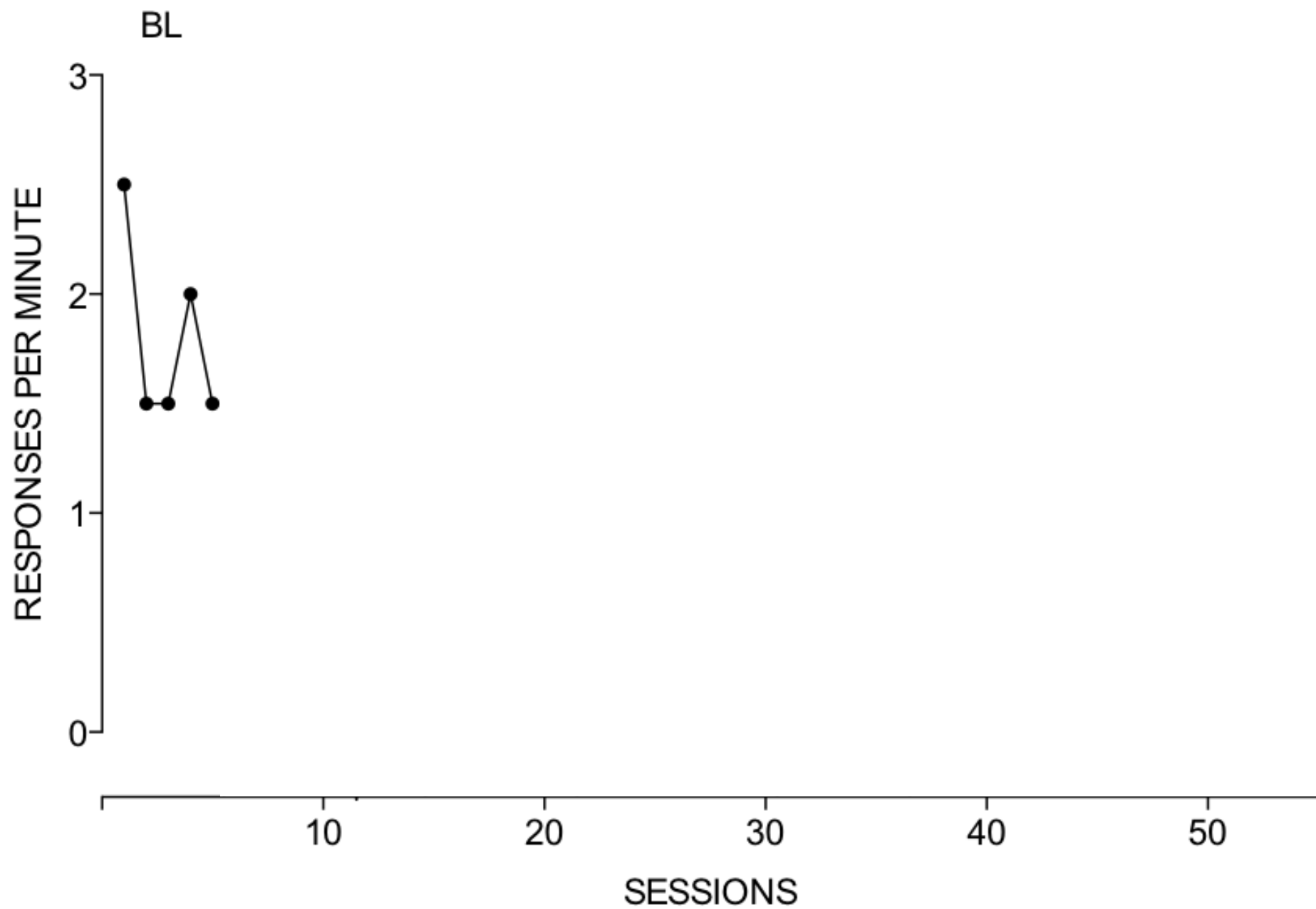




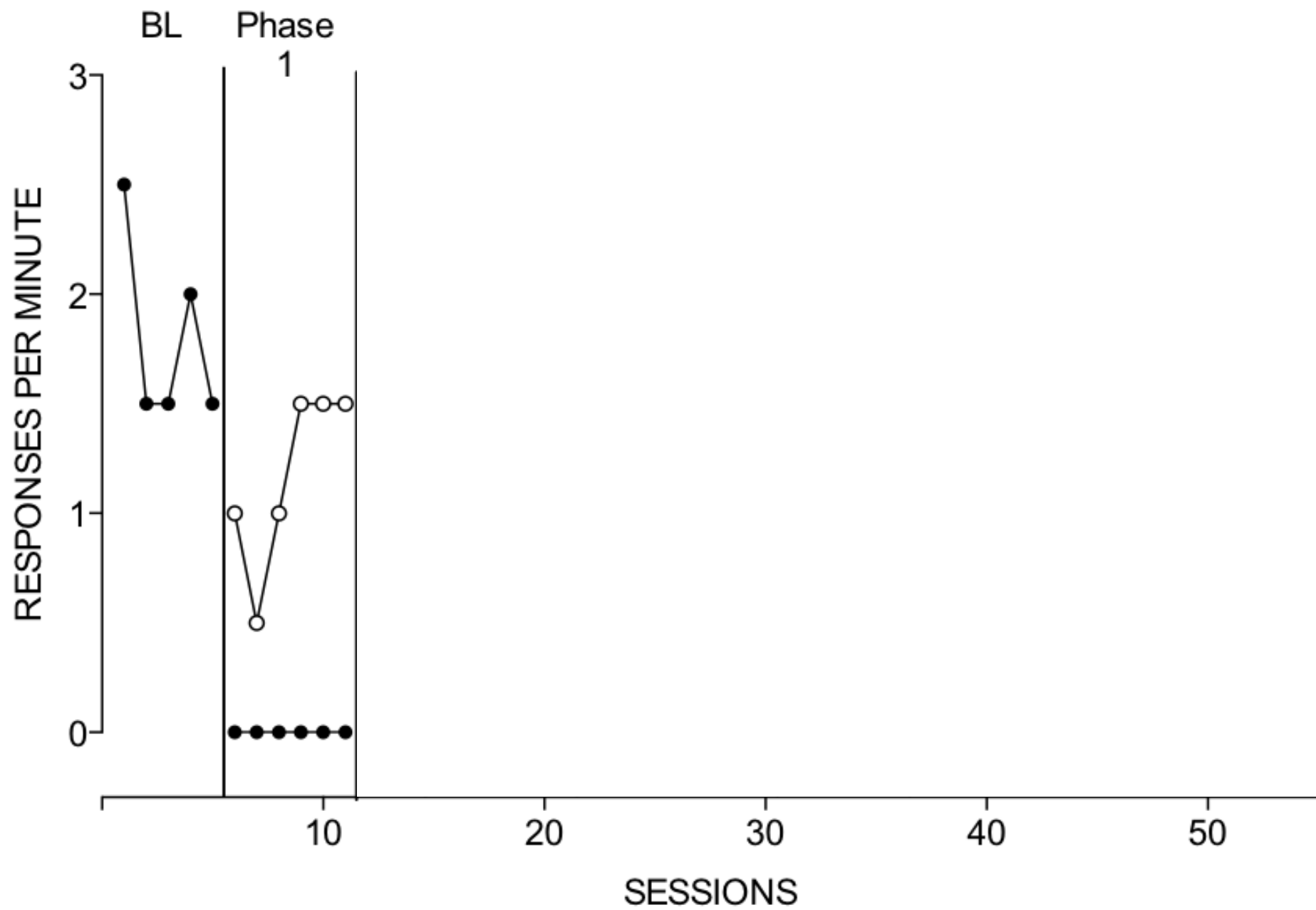


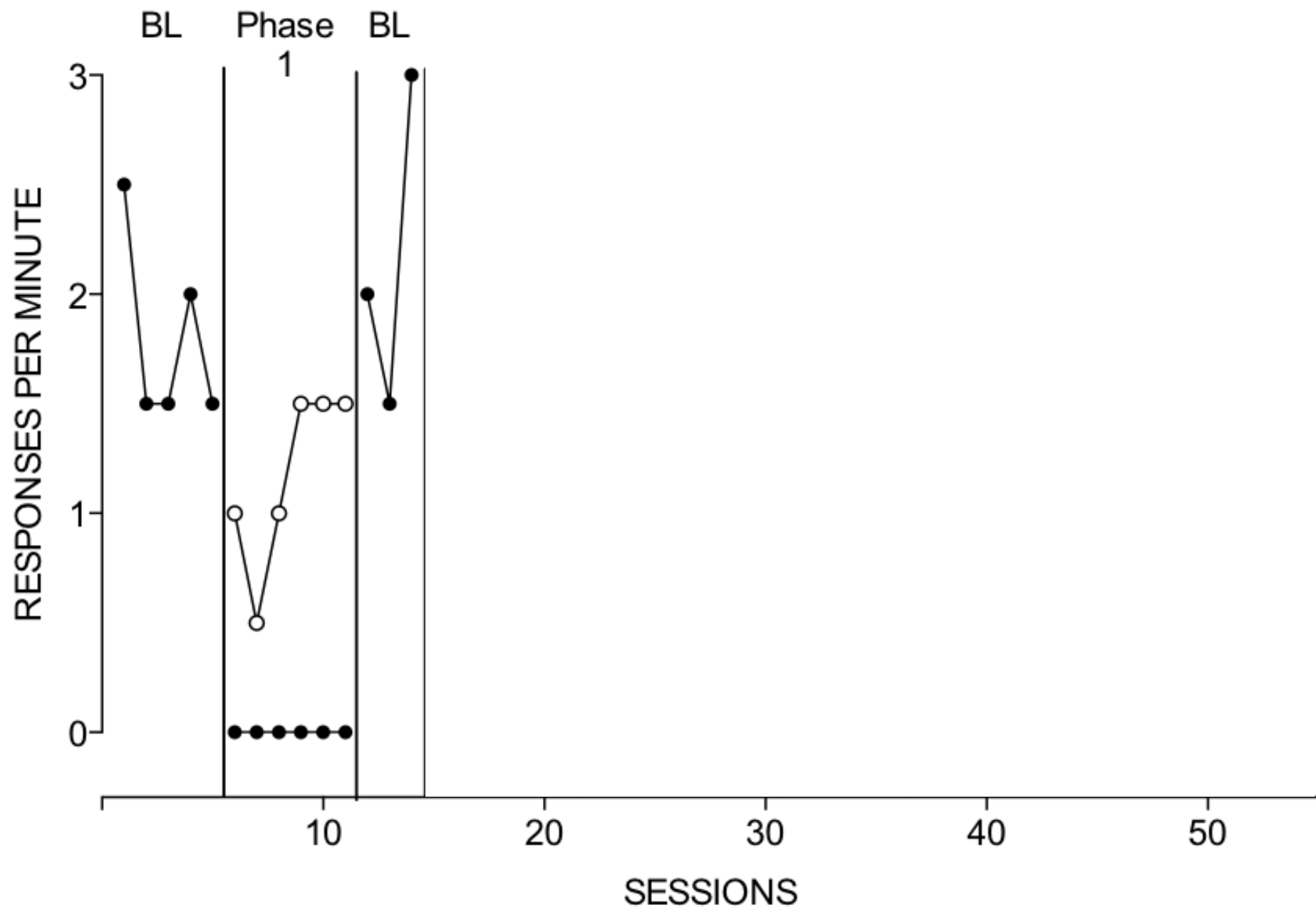


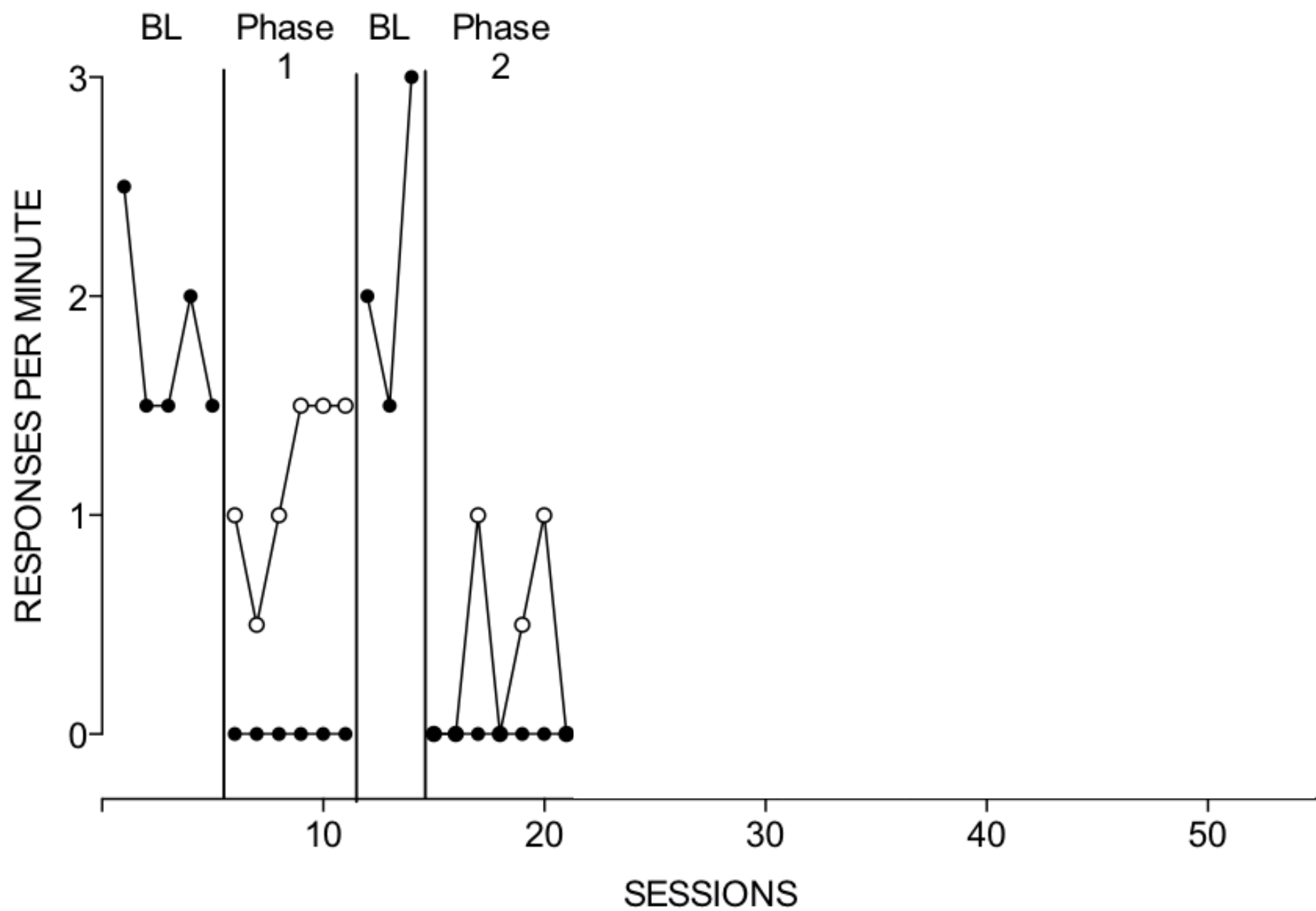


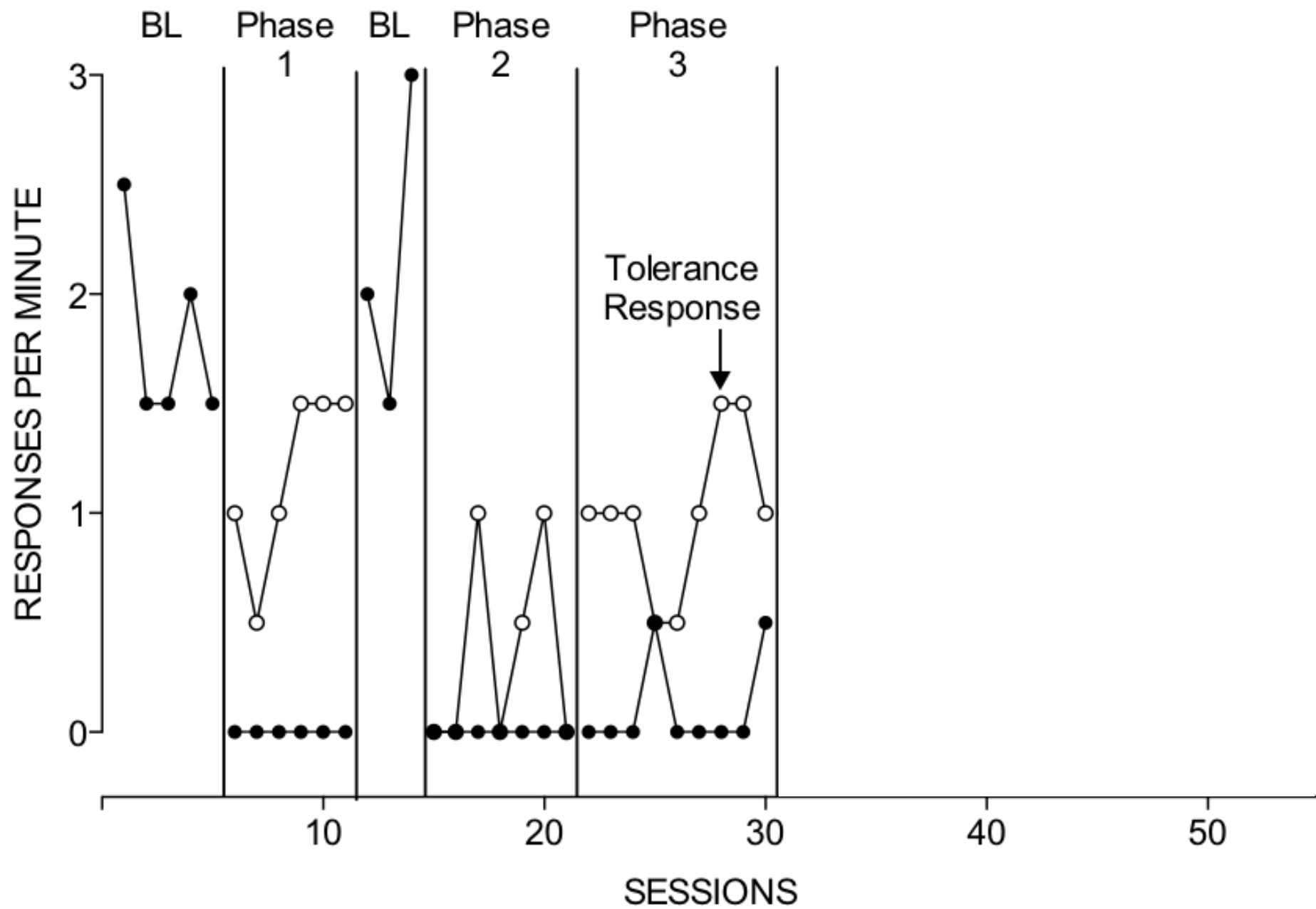


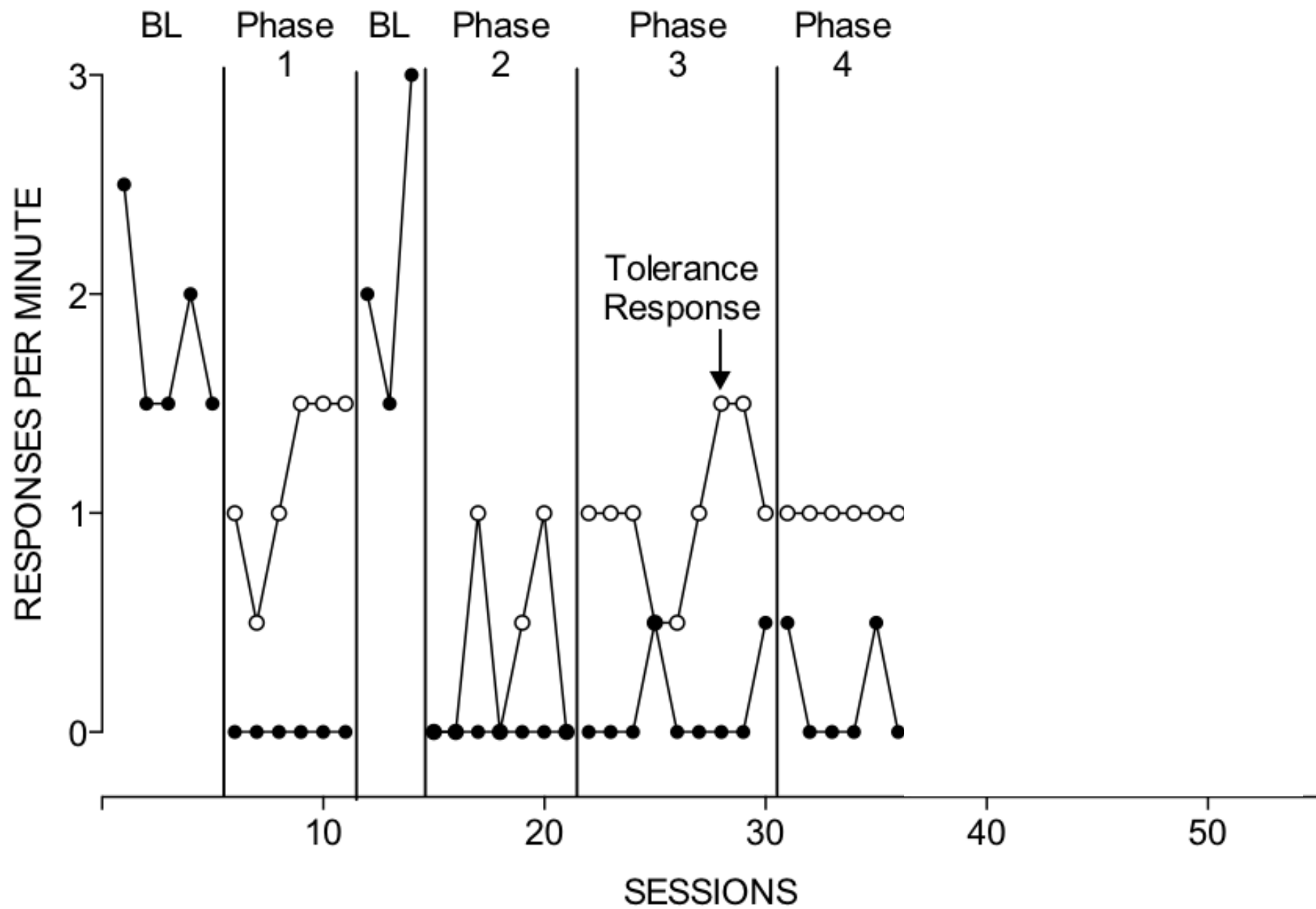


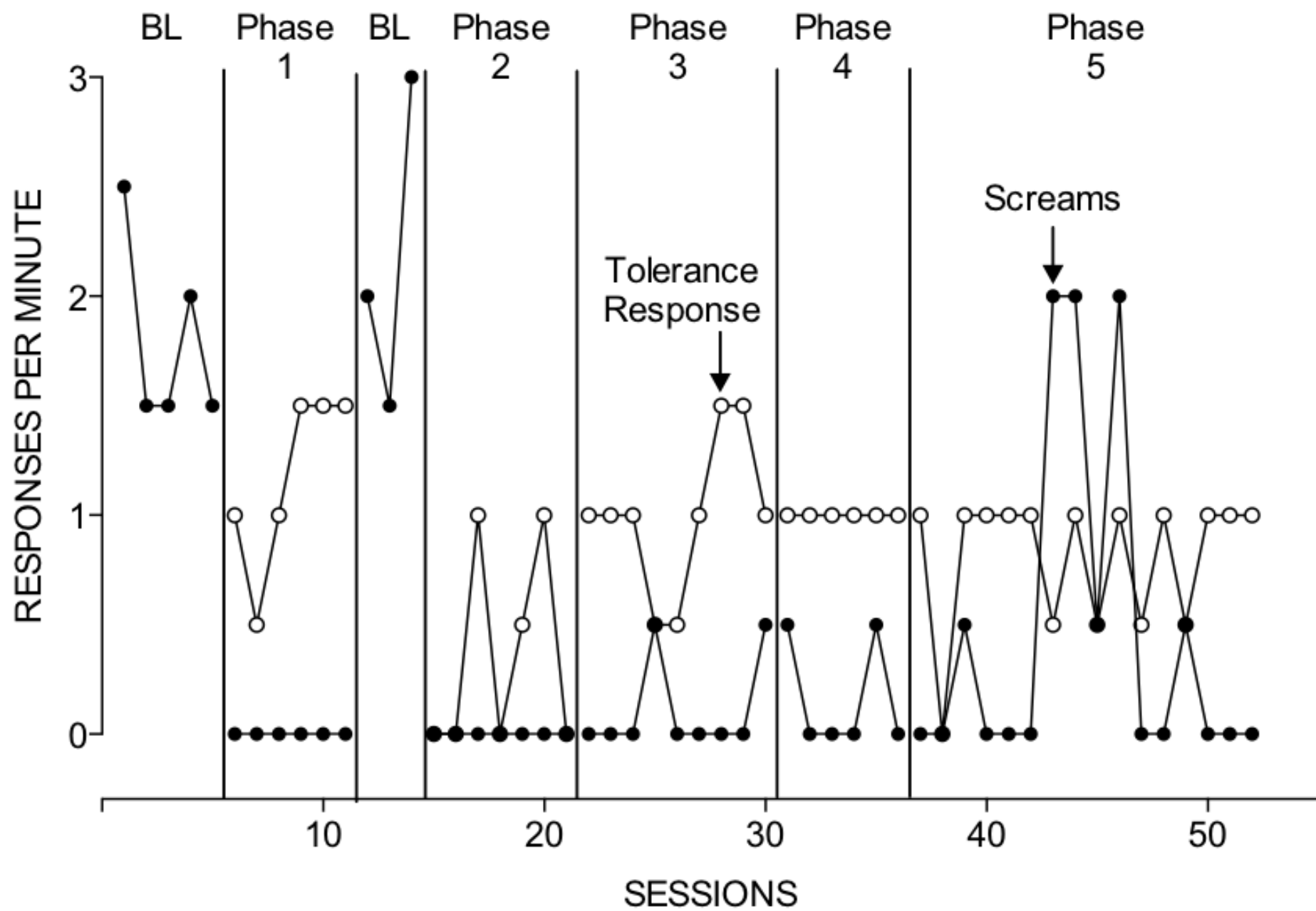












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  - Identified reinforcers for problem behavior in 3 of 3 participants

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- **Future Research**
  - Evaluate the correspondence of FA results across settings